

1 BEFORE THE NEW YORK STATE SENATE
2 STANDING COMMITTEE ON JUDICIARY

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4 Public Hearing on the
5 Appellate Division First Department
6 Departmental Disciplinary Committee,
7 the Grievance Committees of the
8 Various Judicial Districts, and the
9 New York State Commission on Judicial Conduct

8 Hearing Room 6
9 Empire State Plaza
10 Albany, NY

11 June 8, 2009
12 10:35 a.m.

13 PRESIDING:

14 Senator John Sampson
15 Chair
16 Senate Standing Committee on Judiciary

17 PRESENT:

18 Senator John A. DeFrancisco (R)
19
20
21
22
23
24 Senator Bill Perkins

	<u>LIST OF PARTICIPANTS</u>	<u>STATEMENT</u>
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5	Christine C. Anderson, Esq.	34-48
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1 single issue has been adjudicated.

2 So I have given proof, I've put them on
3 CDs, it's all there, that the system is
4 corrupted far beyond what anyone can really
5 imagine. I really encourage you to take a
6 look at my proof because it's prima facie.
7 Okay?

8 CHAIRMAN SAMPSON: We will definitely
9 do that, Mr. Brady. I give you my word.

10 MR. BRADY: Thank you.

11 CHAIRMAN SAMPSON: Thank you very
12 much, Mr. Brady.

13 The next witness is Carl Lanzisera,
14 Mr. Lanzisera.

15 MR. LANZISERA: How you doing. When
16 you go in the subway, you see a sign that
17 says --

18 CHAIRMAN SAMPSON: I just want to let
19 everybody know, about 2:45 we're going to
20 take a break for another 15 minutes and then
21 I'll be back. I just want to check into
22 session. Okay?

23 Mr. Lanzisera?

24 MR. LANZISERA: Yes. Carl.

1 CHAIRMAN SAMPSON: All right, we've
2 got another eight minutes. Let's go ahead.

3 MR. LANZISERA: If you go in the
4 subway, you see a sign: "If you see
5 something, say something." If you go in the
6 airports, "If you see something, say
7 something." If you go to a marina, they say
8 "If you see something, say something."

9 If you go in the courts and you see
10 something and you say something, that's the
11 worst day of your life. And everybody is
12 here with that same complaint.

13 The first two speakers, Martin and
14 Alan -- or Alan and Martin -- they really
15 should have a Broadway skit, because they're
16 two jokers. Either they don't have --

17 CHAIRMAN SAMPSON: Mr. Lanzisera, you
18 know, Mr. Lanzisera -- no, no, I understand
19 it, but everybody's -- listen to me, please.
20 Everybody here is afforded the courtesy and
21 respect. No character assassinations. This
22 is a public hearing to get to the issues
23 because we want solutions.

24 MR. LANZISERA: Well, I was arrested

1 for telling jokes, so --

2 CHAIRMAN SAMPSON: We don't want to
3 arrest you, all right.

4 MR. LANZISERA: They had a grand jury
5 hearing and I told lawyer jokes.

6 But I'm in the investment business
7 45 years. In the investment business, if
8 you have a complaint, you go to now FINRA or
9 the NASD, it's called, or the SEC. Can you
10 imagine if the SEC or FINRA was run by
11 stockbrokers, what would happen after
12 40 years, 50 years? Bernie Madoff would
13 probably get six months in jail.

14 The legal profession is run by lawyers
15 for a hundred years. The first thing the
16 grievance committee did when they were
17 assigned to uphold the Constitution of the
18 United States, was to give themselves
19 judicial immunity. Even you have -- don't
20 have judicial immunity. You have to answer
21 to us. But they don't have to answer to
22 anyone.

23 In the securities business, if you have
24 a complaint against a stockbroker, you go to

1 the NASD for a few dollars and you have a
2 public hearing before three panelists. The
3 panel, their complete history is listed --
4 where they eat, where they sleep, what cases
5 they have. And you a right to eliminate any
6 of the three panelists if there's the
7 slightest inkling.

8 With the grievance committee, you have
9 no idea who the commission is and what
10 they're doing.

11 The hearings are all public. The
12 findings are more than 60 percent of the
13 cases the public gets an award.

14 CHAIRMAN SAMPSON: Which proceeding
15 is this?

16 MR. LANZISERA: In NASD or FINRA, in
17 mandatory arbitration.

18 And if there's a finding against you,
19 it's made public, not only in the state that
20 you operate in but throughout the world.
21 Thirty-five years ago, there was a finding
22 that I didn't buy a stock at the best price
23 and I had to give someone \$250. Thirty-five
24 years later, if you look up my Social

1 Security number, you will see it on my
2 record. If there's a finding against me and
3 they ever took my license away, it would be
4 throughout the United States.

5 In the legal profession, if there's a
6 finding in New York State, the lawyer can go
7 to New Jersey, get his license over there
8 and practice law in New York. They claim
9 they can't follow the lawyer and his past
10 history. That's a bunch of malarkey.
11 That's why I made the original comments that
12 I made. There's no reason in today's day
13 and age you can't follow someone with a
14 Social Security number throughout the world.

15 The findings are public, the hearings
16 are public, you face your accuser, you
17 defend yourself, you know exactly what they
18 say.

19 As a result of my personal history, I
20 started a group, Americans for Legal Reform.
21 If you look at it, that's our newsletter.
22 I've been doing this for more than 20 years.
23 In there you see a list of lawyers and
24 judges that we have found that do things

1 that we feel are questionable.

2 We can't say what they do, because your
3 Senator friend to your left from Syracuse,
4 one of his lawyer friends in Syracuse sued
5 me for libel by innuendo. There's only
6 maybe three cases in the world of libel by
7 innuendo, and I had to spend \$100,000
8 defending myself because I put his name on
9 that list and he felt he was damaged.

10 They're so afraid of their reputation.
11 Why are they any different than a
12 stockbroker or a plumber? If I go to
13 Consumer Affairs and there are 500
14 complaints against a plumber, I can look at
15 them all and evaluate whether they're
16 frivolous or real. If you want to open an
17 account with a stockbroker, you should check
18 with FINRA and find out his history.

19 But if you're a lawyer, as Jack
20 Solowitz, my divorce attorney, one of my
21 divorce attorneys, stole millions from 49
22 people. The 49th didn't know about the
23 48th; the 48th didn't know about the 47th,
24 and so on. Eventually he did go to jail.

