

CENTER for JUDICIAL ACCOUNTABILITY, INC.

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March 6, 2017

TO: Senate Records Access Officer/Secretary of the Senate Francis Patience
Assembly Records Access Officer Robin Marilla
Comptroller's Records Access Officer Jane Hall

FROM: Elena Ruth Sassower, Director
Center for Judicial Accountability, Inc. (CJA)

RE: FOIL/RECORDS REQUEST: The "Audit Committee" consisting of the chairs and ranking members of the Senate Finance Committee and Assembly Ways & Means Committee – as recited in the Legislature's budget narratives

The Legislature's budget narrative for fiscal year 2017-2018 – as, likewise its budget narratives for fiscal years going back at least as far as fiscal year 2013-2014 – states, as follows, under the heading "FISCAL COMMITTEES":

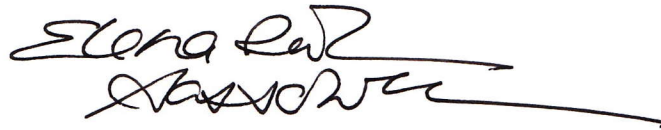
"...the Chairmen and ranking Minority Members of the Senate Finance Committee and the Assembly Ways and Means Committee function as an Audit Committee, with the responsibility to select an independent certified public accountant to conduct an audit of the state's annual financial statements, receive the results of such independent audit, and submit the resulting audit certification to the State Comptroller for the State's Comprehensive Annual Financial Statement." (at p. 3).

Pursuant to Public Officers Law Article VI ["Freedom of Information"], Senate Rule VI "Freedom of Information", and Assembly Rule VIII ["Public Access to Records"], this is to request:

- (1) records reflecting the process by which the chairs and ranking members of the Senate Finance Committee and Assembly Ways and Means Committee, constituted as the "Audit Committee", selected the "independent certified public accountant to conduct an audit of the state's annual financial statements" for each fiscal year since 2013-2014 – and, at minimum, for each of those years:
 - (a) their written requests for audit proposals from independent certified public accountants;
 - (b) the names of the independent certified public accountants who submitted audit proposals;

- (c) the audit proposal selected;
 - (d) the contract entered into for the audit;
- (2) records reflecting the “results” of the aforesaid “independent audits” that the “Audit Committee” received for each fiscal year since 2013-2014 – such “results” being, presumably, the “independent audits”;
- (3) a copy of the “resulting audit certification” that the “Audit Committee” submitted to the State Comptroller for each fiscal year since 2013-2014 – such being, presumably, the “audit certification” of the “Audit Committee” of the “independent audit”.

Thank you.

A handwritten signature in black ink, appearing to read "Elena Ruiz", with a long horizontal flourish extending to the right.