

ATTORNEY DISCIPLINARY ACTIVITIES
PERIOD COVERED - ANNUAL - 01/01/14 - 12/31/14

SECOND, ELEVENTH AND THIRTEENTH JUDICIAL DISTRICTSSECOND DEPARTMENT**I. MATTERS PROCESSED:**

A.	Matters Pending at Start of Period	921	
B.	New Matters During Period	<u>1,956</u>	
C.	Closed Matters Reactivated During Period	<u>81</u>	
D.	Total Matters to be Processed During Period (A+B+C)		2,958
E.	Total Matters Disposed of During Period		<u>1,843</u>
F.	Matters Pending at End of Period		<u>1,115</u>

II. MATTERS DISPOSED OF BY COMMITTEE:

		<u>CASES*</u>	<u>MATTERS**</u>
A.	Rejected as Failing to State a Complaint	990	990
B.	Referred to Other Disciplinary Committees	<u>343</u>	<u>343</u>
C.	Referred to Other Agencies	<u>44</u>	<u>44</u>
D.	Dismissed or Withdrawn	<u>214</u>	<u>217</u>
E.	Dismissed through Mediation	<u>6</u>	<u>6</u>
F.	Letter of Caution	<u>79</u>	<u>86</u>
G.	Letter of Admonition	<u>34</u>	<u>41</u>
H.	Reprimand	<u>1</u>	<u>1</u>
I.	Referred to Appellate Division (Disc. Proc.)	<u>34</u>	<u>65</u>
J.	Other***	<u>15</u>	<u>50</u>
	Total Disposed of During Period (Same as I E above)	<u>1,760</u>	<u>1,843</u>

III. CASES PROCESSED IN ALL COURTS:

A.	Cases Pending at Start of Period		<u>66</u>
	1. Disciplinary Proceedings****	<u>43</u>	
	2. Other*****	<u>23</u>	
B.	Cases Received During Period		<u>84</u>
	1. Disciplinary Proceedings	<u>36</u>	
	2. Other	<u>48</u>	
C.	Total to be Processed During Period		<u>150</u>

D. Cases Closed

1.	Disbarred	<u>18</u>
2.	Disciplinary Resignations	<u>5</u>
3.	Suspended*****	<u>14</u>
4.	Censured	<u>4</u>
5.	Privately Censured	<u>0</u>
6.	Remanded to Grievance Committee	<u>8</u>
7.	Discontinued	<u>2</u>
8.	Dismissed	<u>0</u>
9.	Reinstatement Granted	<u>4</u>
10.	Reinstatement Denied	<u>4</u>
11.	Non-Disciplinary Resignations	<u>12</u>
12.	All other Dispositions	<u>16</u>
13.	Total Closed	<u>87</u>


Total Cases Pending at End of Period 63

E.

1.	Disciplinary Proceedings	<u>36</u>
2.	Other	<u>27</u>

Dated: Brooklyn, New York
26-Feb-15

Respectfully Submitted,


Diana Maxfield Kearse
Chief Counsel

* Cases refers to the number of respondent/attorneys. As some attorneys are the subject of multiple complaints, the number of matters may exceed the number of cases.

** Matters represents individual complaints, inquiries and sua sponte investigations. This does not include telephone inquiries.

*** Other for the purposes of Part II includes files closed for any reason not otherwise covered under Sections A -I (e.g., files pending but not included within the disciplinary proceeding at the time of respondent's disbarment; files closed pending outcome of ongoing litigation; files closed due to respondent's death).

**** Disciplinary Proceeding includes only those proceedings before the Court which may result in final discipline including: any Court ordered disciplinary proceeding, motion to strike for felony conviction, reciprocal discipline, resignation under investigation.

***** Other for the purposes of Part III includes all other proceedings before the Court which may not result in final discipline (e.g., motion for interim suspension, petition for authorization, application for medical exam, application for conservator, motion to reargue, appeals, application for reinstatement, notification to Court of criminal conviction, voluntary resignation and request for disclosure order).

*****Suspended includes definite, interim, and indefinite suspensions.

ATTORNEY DISCIPLINARY ACTIVITIES
YEAR-END 2013 (January 1 - December 31, 2013)

NINTH JUDICIAL DISTRICT

SECOND DEPARTMENT

I. MATTERS PROCESSED:

	<u>Cases</u>	<u>Matters</u>
A. Matters Pending at Start of Period	899	730
B. New Matters During Period	1112	1112
C. Closed Matters Reactivated During Period	95	95
D. Total Matters to be Processed During Period (A+B+C)	2106	1937
E. Total Matters Disposed of During Period	1310	1441
F. Matters Pending at End of Period	796	496

II. MATTERS DISPOSED OF BY COMMITTEE:

	<u>Cases</u>	<u>Matters</u>
A. Rejected as Failing to State a Complaint	485	485
B. Referred to Other Disciplinary Committees	243	243
C. Referred to Other Agencies	48	48
D. Dismissed or Withdrawn	296	296
E. Dismissed through Mediation	0	0
F. Letter of Caution	113	113
G. Letter of Admonition	47	47
H. Reprimand	1	1
I. Referred to Appellate Division (Disc. Proc.)	50	181
J. Other	27	27
Total Disposed of During Period (same as I E above):	1310	1441

III. CASES PROCESSED IN ALL COURTS:

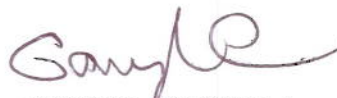
	<u>Cases</u>	<u>Matters</u>
A. Cases Pending at Start of Period	60	182
1. Disciplinary Proceedings	26 (43)	
2. Other	34 (139)	
B. Cases Received During Period	51	182
1. Disciplinary Proceedings	27 (108)	
2. Other	24 (74)	
C. Total to be Processed During Period	111	364

ATTORNEY DISCIPLINARY ACTIVITIES

	<u>Cases</u>	<u>Matters</u>
D. Cases Closed		
1. Disbarred	6	6
2. Disciplinary Resignations	2	5
3. Suspended	4	80
4. Censured	4	5
5. Privately Censured	0	0
6. Remanded to Grievance Committee	0	0
7. Discontinued	2	2
8. Dismissed	0	0
9. Reinstatements Granted	5	5
10. Reinstatements Denied	0	0
11. Non-Disciplinary Resignation	18	18
12. All Other Dispositions	28	135
13. Total Closed	69	256
E. Total Cases Pending at End of Period	42	108
1. Disciplinary Proceedings	33	52
2. Other	9	56

DATED: January 15, 2014
White Plains, New York

Respectfully Submitted,



GARY L. CASELLA
Chief Counsel

ATTORNEY DISCIPLINARY ACTIVITIES

PERIOD COVERED (Annual)
JANUARY - DECEMBER 2014

TENTH JUDICIAL DISTRICT

SECOND DEPARTMENT

I. MATTERS PROCESSED:

A. Matters Pending at Start of Period	827	
B. New Matters During Period	1,978	
C. Closed Matters Re-activated During Period	<u>41</u>	
D. Total Matters to be Processed During Period		2,846
E. Total Matters Disposed of During Period		<u>1923</u>
F. Matters Pending at End of Period		923

II. MATTERS DISPOSED OF BY COMMITTEE

	Cases	Matters
A. Rejected as Failing to State a Complaint	803	803
B. Referred to Other Disciplinary Committees	223	223
C. Referred to Other Agencies	126	126
D. Dismissed or Withdrawn	456	456
E. Dismissed through Mediation	44	44
F. Letter of Caution	67	71
G. Letter of Admonition	35	46
H. Reprimand	1	1
I. Referred to Appellate Division (DPs)	20	40
J. Other	<u>78</u>	<u>113</u>
Total Disposed of During Period	1853	1923

III. CASES PROCESSED IN ALL COURTS

A. Cases Pending at Start of Period	<u>80</u>
1. Disciplinary Proceedings	53
2. Other	27
B. Cases Received During Period	<u>115</u>
1. Disciplinary Proceedings	20
2. Other	95
C. Total to be Processed During Period	<u>195</u>

ATTORNEY DISCIPLINARY ACTIVITIES

D. Cases Closed

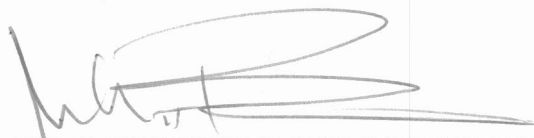
1. Disbarred	14
2. Disciplinary Resignations	7
3. Suspended	20
4. Censured	7
5. Privately Censured	0
6. Remanded to Grievance Committee	7
7. Discontinued	2
8. Dismissed	4
9. Reinstatements Granted	7
10. Reinstatements Denied	2
11. Non-Disciplinary Resignation	15
12. All Other Dispositions	<u>39</u>
13. Total Closed	<u>124</u>

E. Total Cases Pending at End of Period 71

1. Disciplinary Proceedings	27
2. Other	44

Dated: January 7, 2015
Hauppauge, New York

Respectfully Submitted,



MITCHELL T. BORKOWSKY
Deputy Chief Counsel