

**ATTORNEY DISCIPLINARY ACTIVITIES**  
**PERIOD COVERED - ANNUAL - January 1, 2015 to December 31, 2015**

SECOND, ELEVENTH AND THIRTEENTH JUDICIAL DISTRICTS

SECOND DEPARTMENT

**I. MATTERS PROCESSED:**

A.	Matters Pending at Start of Period	1,115	
B.	New Matters During Period	<u>1,611</u>	
C.	Closed Matters Reactivated During Period	<u>15</u>	
D.	Total Matters to be Processed During Period (A+B+C)		<u>2,741</u>
E.	Total Matters Disposed of During Period		<u>1,909</u>
F.	Matters Pending at End of Period		<u>832</u>

**II. MATTERS DISPOSED OF BY COMMITTEE:**

		<u>CASES*</u>	<u>MATTERS**</u>
A.	Rejected as Failing to State a Complaint	<u>966</u>	<u>966</u>
B.	Referred to Other Disciplinary Committees	<u>338</u>	<u>338</u>
C.	Referred to Other Agencies	<u>42</u>	<u>42</u>
D.	Dismissed or Withdrawn	<u>189</u>	<u>189</u>
E.	Dismissed through Mediation	<u>7</u>	<u>7</u>
F.	Letter of Caution	<u>102</u>	<u>103</u>
G.	Letter of Admonition	<u>44</u>	<u>66</u>
H.	Reprimand	<u>1</u>	<u>1</u>
I.	Referred to Appellate Division (Disc. Proc.)	<u>148</u>	<u>158</u>
J.	Other***	<u>13</u>	<u>39</u>
	Total Disposed of During Period (Same as I E above)	<u>1,850</u>	<u>1,909</u>

**III. CASES PROCESSED IN ALL COURTS:**

A.	Cases Pending at Start of Period	<u>63</u>
	1. Disciplinary Proceedings****	<u>36</u>
	2. Other*****	<u>27</u>
B.	Cases Received During Period	<u>83</u>
	1. Disciplinary Proceedings	<u>30</u>
	2. Other	<u>53</u>
C.	Total to be Processed During Period	<u>146</u>

D. Cases Closed

1.	Disbarred	16	
2.	Disciplinary Resignations	5	
3.	Suspended*****	23	(See #3 below)
4.	Censured	5	
5.	Privately Censured	0	
6.	Remanded to Grievance Committee	4	
7.	Discontinued	1	
8.	Dismissed	0	
9.	Reinstatement Granted	5	
10.	Reinstatement Denied	5	
11.	Non-Disciplinary Resignations	17	
12.	All other Dispositions	12	
13.	Total Closed		93

Total Cases Pending at End of Period

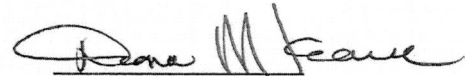
53

E.

1.	Disciplinary Proceedings	24
2.	Other	29

Dated: Brooklyn, New York  
15-Jan-16

Respectfully Submitted,



Diana Maxfield Kearse  
Chief Counsel

\* Cases refers to the number of respondent/attorneys. As some attorneys are the subject of multiple complaints, the number of matters may exceed the number of cases.

\*\* Matters represents individual complaints, inquiries and sua sponte investigations. This does not include telephone inquiries.

\*\*\* Other for the purposes of Part II includes files closed for any reason not otherwise covered under Sections A -I (e.g., files pending but not included within the disciplinary proceeding at the time of respondent's disbarment; files closed pending outcome of ongoing litigation; files closed due to respondent's death).

\*\*\*\* Disciplinary Proceeding includes only those proceedings before the Court which may result in final discipline including: any Court ordered disciplinary proceeding, motion to strike for felony conviction, reciprocal discipline, resignation under investigation.

\*\*\*\*\* Other for the purposes of Part III includes all other proceedings before the Court which may not result in final discipline (e.g., motion for interim suspension, petition for authorization, application for medical exam, application for conservator, motion to reargue, appeals, application for reinstatement, notification to Court of criminal conviction, voluntary resignation and request for disclosure order).

#3 Reflects only one (1) suspension counted although 125 attorneys were suspended as a result of the Mass Suspension order dated 3/30/15.

\*\*\*\*\*Suspended includes definite, interim, and indefinite suspensions.

**ATTORNEY DISCIPLINARY ACTIVITIES**  
**YEAR-END 2015 (January 1 - December 31, 2015)**

NINTH JUDICIAL DISTRICT

SECOND DEPARTMENT

**I. MATTERS PROCESSED:**

	<u>Cases</u>	<u>Matters</u>
A. Matters Pending at Start of Period	1059	690
B. New Matters During Period	957	957
C. Closed Matters Reactivated During Period	32	32
D. Total Matters to be Processed During Period (A+B+C)	2048	1679
E. Total Matters Disposed of During Period	1170	1201
F. Matters Pending at End of Period	878	478

**II. MATTERS DISPOSED OF BY COMMITTEE:**

	<u>Cases</u>	<u>Matters</u>
A. Rejected as Failing to State a Complaint	424	424
B. Referred to Other Disciplinary Committees	165	165
C. Referred to Other Agencies	52	52
D. Dismissed or Withdrawn	280	280
E. Dismissed through Mediation	0	0
F. Letter of Caution	106	106
G. Letter of Admonition	62	62
H. Reprimand	0	0
I. Referred to Appellate Division (Disc. Proc.)	53	84
J. Other	28	28
Total Disposed of During Period (same as I E above):	1170	1201

**III. CASES PROCESSED IN ALL COURTS:**

	<u>Cases</u>	<u>Matters</u>
A. Cases Pending at Start of Period	36	108
1. Disciplinary Proceedings	15 (81)	
2. Other	21 (27)	
B. Cases Received During Period	53	84
1. Disciplinary Proceedings	19 (38)	
2. Other	34 (46)	
C. Total to be Processed During Period	89	192

ATTORNEY DISCIPLINARY ACTIVITIES

D. Cases Closed	<u>Cases</u>	<u>Matters</u>
1. Disbarred	4	7
2. Disciplinary Resignations	1	1
3. Suspended	6	15
4. Censured	2	2
5. Privately Censured	0	0
6. Remanded to Grievance Committee	0	0
7. Discontinued	0	0
8. Dismissed	0	0
9. Reinstatements Granted	7	7
10. Reinstatements Denied	1	1
11. Non-Disciplinary Resignation	8	8
12. All Other Dispositions	27	87
13. Total Closed	56	128
E. Total Cases Pending at End of Period	33	64
1. Disciplinary Proceedings	17 (42)	
2. Other	16 (22)	

DATED: January 11, 2015  
White Plains, New York

Respectfully Submitted,

  
GARY L. CASELLA  
Chief Counsel

**ATTORNEY DISCIPLINARY ACTIVITIES**

PERIOD COVERED (Annual)  
JANUARY - DECEMBER 2015

TENTH JUDICIAL DISTRICT

SECOND DEPARTMENT

**I. MATTERS PROCESSED:**

A. Matters Pending at Start of Period	923	
B. New Matters During Period	1,530	
C. Closed Matters Re-activated During Period	<u>31</u>	
D. Total Matters to be Processed During Period		2,484
E. Total Matters Disposed of During Period		<u>1728</u>
F. Matters Pending at End of Period		756

**II. MATTERS DISPOSED OF BY COMMITTEE**

	<b>Cases</b>	<b>Matters</b>
A. Rejected as Failing to State a Complaint	702	702
B. Referred to Other Disciplinary Committees	246	246
C. Referred to Other Agencies	113	113
D. Dismissed or Withdrawn	337	337
E. Dismissed through Mediation	32	32
F. Letter of Caution	76	83
G. Letter of Admonition	45	58
H. Reprimand	0	0
I. Referred to Appellate Division (DPs)	42	80
J. Other	<u>70</u>	<u>77</u>
Total Disposed of During Period	1663	1728

**III. CASES PROCESSED IN ALL COURTS**

A. Cases Pending at Start of Period	<u>71</u>
1. Disciplinary Proceedings	27
2. Other	44
B. Cases Received During Period	<u>108</u>
1. Disciplinary Proceedings	42
2. Other	66
C. Total to be Processed During Period	<u>179</u>

**ATTORNEY DISCIPLINARY ACTIVITIES**

D. Cases Closed

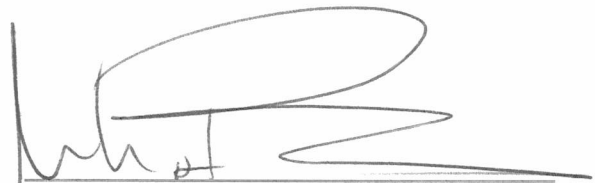
1. Disbarred	11
2. Disciplinary Resignations	6
3. Suspended	15
4. Censured	5
5. Privately Censured	0
6. Remanded to Grievance Committee	3
7. Discontinued	5
8. Dismissed	2
9. Reinstatements Granted	5
10. Reinstatements Denied	2
11. Non-Disciplinary Resignation	21
12. All Other Dispositions	<u>38</u>
13. Total Closed	<u>113</u>

E. Total Cases Pending at End of Period 66

1. Disciplinary Proceedings	35
2. Other	31

Dated: January 11, 2016  
Hauppauge, New York

Respectfully Submitted,



MITCHELL T. BORKOWSKY  
Chief Counsel