

ATTORNEY DISCIPLINARY ACTIVITIES
PERIOD COVERED (1/1/17 TO 12/31/17-- ANNUAL)

SECOND, ELEVENTH AND THIRTEENTH JUDICIAL DISTRICTS

SECOND DEPARTMENT

I. MATTERS PROCESSED:

A.	Matters Pending at Start of Period	1,219
B.	New Matters During Period	1,723
C.	Closed Matters Reactivated During Period	14
D.	Total Matters to be Processed During Period (A+B+C)	2,956
E.	Total Matters Disposed of During Period	1,699
F.	Matters Pending at End of Period	1,257

II. MATTERS DISPOSED OF BY COMMITTEE:

	<u>CASES*</u>	<u>MATTERS**</u>
A.	902	902
B.	330	330
C.	29	29
D.	162	174
E.	0	0
F.	1	1
F-1	103	115
G.	13	17
H.	0	0
I.	36	86
J.	25	45
Total Disposed of During Period (Same as I E above)		1,699

III. CASES PROCESSED IN ALL COURTS:

A.	Cases Pending at Start of Period	56
	1. Disciplinary Proceedings****	29
	2. Other*****	27
B.	Cases Received During Period	89
	1. Disciplinary Proceedings	37
	2. Other	52
C.	Total to be Processed During Period	145

D. Cases Closed

1.	Disbarred	18
2.	Disciplinary Resignations	6
3.	Suspended*****	17
4.	Censured	2
5.	Privately Censured	0
6.	Remanded to Grievance Committee	4
7.	Discontinued	4
8.	Dismissed	0
9.	Reinstatement Granted	9
10.	Reinstatement Denied	7
11.	Non-Disciplinary Resignations	4
12.	All other Dispositions	25
13.	Total Closed	96


Total Cases Pending at End of Period

E.

1.	Disciplinary Proceedings	26
2.	Other	23

Dated: Brooklyn, New York
21-Jan-18

Respectfully Submitted,


Diana Maxfield Kearse
Chief Counsel

* Cases refers to the number of respondent/attorneys. As some attorneys are the subject of multiple complaints, the number of matters may exceed the number of cases.

** Matters represents individual complaints, inquiries and sua sponte investigations. This does not include telephone inquiries.

*** Other for the purposes of Part II includes files closed for any reason not otherwise covered under Sections A-I (e.g., files pending but not included within the disciplinary proceeding at the time of respondent's disbarment; files closed pending outcome of ongoing litigation; files closed due to respondent's death).

**** Disciplinary Proceeding includes only those proceedings before the Court which may result in final discipline including: any Court ordered disciplinary proceeding, motion to strike for felony conviction, reciprocal discipline, resignation under investigation.

***** Other for the purposes of Part III includes all other proceedings before the Court which may not result in final discipline (e.g., motion for interim suspension, petition for authorization, application for medical exam, application for conservator, motion to reargue, appeals, application for reinstatement, notification to Court of criminal conviction, voluntary resignation and request for disclosure order).

*****Suspended includes definite, interim, and indefinite suspensions.

ATTORNEY DISCIPLINARY ACTIVITIES

YEAR END 2017 (January 1 - December 31)

NINTH JUDICIAL DISTRICT

SECOND DEPARTMENT

I. MATTERS PROCESSED:

A. Matters Pending at Start of Period	926	
B. New Matters During Period	1001	
C. Closed Matters Reactivated During Period	45	
D. Total Matters to be Processed During Period (A+B+C)		1972
E. Total Matters Disposed of During Period		1388
F. Matters Pending at end of Period		584

II. MATTERS DISPOSED OF BY COMMITTEE:

	<u>Cases</u>	<u>Matters</u>
A. Rejected as Failing to State a Complaint	456	456
B. Referred to Other Disciplinary Committees	152	152
C. Referred to Other Agencies	40	40
D. Dismissed or Withdrawn	430	430
E. Dismissed Through Mediation	0	0
F. Letter of Advisement	135	135
G. Letter of Admonition	38	38
H. Reprimand	0	0
I. Referred to Appellate Division (Disc. Proc.)	64	108
J. Other	29	29
Total Disposed of During Period (same as I. E above):	1344	1388

III. CASES PROCESSED IN ALL COURTS:

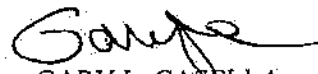
	<u>Cases</u>	<u>Matters</u>
A. Cases Pending at Start of Period	32	58
1. Disciplinary Proceedings	14 (31)	
2. Other	18 (27)	
B. Cases Received During Period	64	108
1. Disciplinary Proceedings	23 (41)	
2. Other	41 (67)	
C. Total to be Processed During Period	96	166

ATTORNEY DISCIPLINARY ACTIVITIES

	<u>Cases</u>	<u>Matters</u>
D. Cases Closed		
1. Disbarred	6	14
2. Disciplinary Resignation	3	18
3. Suspended	9	11
4. Censured	3	3
5. Privately Censured	0	0
6. Remanded to Grievance Committee	0	0
7. Discontinued	1	1
8. Dismissed	0	0
9. Reinstatements Granted	3	3
10. Reinstatements Denied	3	3
11. Non-Disciplinary Resignation	12	12
12. All Other Dispositions	32	51
13. Total Closed	72	116
E. Total Cases Pending at End of Period	24	50
1. Disciplinary Proceedings	10 (17)	
2. Other	14 (33)	

DATED: February 2, 2018
White Plains, New York

Respectfully submitted,


GARY L. CASELLA
Chief Counsel

ATTORNEY DISCIPLINARY ACTIVITIES
 PERIOD COVERED (Annual)
JANUARY - DECEMBER 2017

TENTH JUDICIAL DISTRICT

SECOND DEPARTMENT

I. MATTERS PROCESSED:

A. Matters Pending at Start of Period	747	
B. New Matters During Period	2,050	
C. Closed Matters Re-activated During Period	<u>31</u>	
D. Total Matters to be Processed During Period		2,828
E. Total Matters Disposed of During Period		<u>1777</u>
F. Matters Pending at End of Period		1,051

II. MATTERS DISPOSED OF BY COMMITTEE

	Cases	Matters
A. Rejected as Failing to State a Complaint	710	710
B. Referred to Other Disciplinary Committees	194	194
C. Referred to Other Agencies	107	107
D. Dismissed or Withdrawn	199	199
E. Dismissed through Mediation	50	50
F. Letter of Caution	0	0
G. Letter of Advisement	134	139
H. Letter of Admonition	26	34
I. Reprimand	0	0
J. Referred to Appellate Division (DPs)	32	75
K. Other	<u>60</u>	<u>269</u>
Total Disposed of During Period	1512	1777

III. CASES PROCESSED IN ALL COURTS

A. Cases Pending at Start of Period	<u>90</u>
1. Disciplinary Proceedings	41
2. Other	49
B. Cases Received During Period	<u>102</u>
1. Disciplinary Proceedings	32
2. Other	70
C. Total to be Processed During Period	<u>192</u>

ATTORNEY DISCIPLINARY ACTIVITIES

D. Cases Closed

1. Disbarred	17
2. Disciplinary Resignations	2
3. Suspended	21
4. Censured	8
5. Privately Censured	0
6. Remanded to Grievance Committee	8
7. Discontinued	5
8. Dismissed	1
9. Reinstatements Granted	3
10. Reinstatements Denied	5
11. Non-Disciplinary Resignation	21
12. All Other Dispositions	<u>42</u>
13. Total Closed	<u>133</u>

E. Total Cases Pending at End of Period 59

1. Disciplinary Proceedings	27
2. Other	32

Dated: January 11, 2018
Hauppauge, New York

Respectfully Submitted,



CATHERINE A. SHERIDAN
Acting Chief Counsel