

**ATTORNEY DISCIPLINARY ACTIVITIES**  
**PERIOD COVERED ( January 1, 2018 to December 31, 2018)**

SECOND, ELEVENTH AND THIRTEENTH JUDICIAL DISTRICTS

## SECOND DEPARTMENT

**I. MATTERS PROCESSED:**

|    |   |       |
|----|---|-------|
| A. | Matters Pending at Start of Period                  | 1,257 |
| B. | New Matters During Period                           | 2,139 |
| C. | Closed Matters Reactivated During Period            | 8     |
| D. | Total Matters to be Processed During Period (A+B+C) | 3,404 |
| E. | Total Matters Disposed of During Period             | 2,091 |
| F. | Matters Pending at End of Period                    | 1,313 |

**II. MATTERS DISPOSED OF BY COMMITTEE:**

|   |  | CASES* | MATTERS** |
|---|--|--------|-----------|
| A.  | Rejected as Failing to State a Complaint     | 1,275  | 1,275     |
| B.  | Referred to Other Disciplinary Committees    | 349    | 349       |
| C.  | Referred to Other Agencies                   | 22     | 22        |
| D.  | Dismissed or Withdrawn                       | 128    | 140       |
| E.  | Dismissed through Mediation                  | 0      | 0         |
| F.  | Letter of Caution                            | 0      | 0         |
| F-1   | Letter of Advisement                         | 174    | 178       |
| G.  | Letter of Admonition                         | 22     | 31        |
| H.  | Reprimand                                    | 0      | 0         |
| I.  | Referred to Appellate Division (Disc. Proc.) | 37     | 59        |
| J.  | Other***                                     | 31     | 37        |
| Total Disposed of During Period (Same as I E above) |  | 2,038  | 2,091     |

**III. CASES PROCESSED IN ALL COURTS:**

|    |                                     |     |
|----|-------------------------------------|-----|
| A. | Cases Pending at Start of Period    | 49  |
|    | 1. Disciplinary Proceedings****     | 26  |
|    | 2. Other*****                       | 23  |
| B. | Cases Received During Period        | 101 |
|    | 1. Disciplinary Proceedings         | 37  |
|    | 2. Other                            | 64  |
| C. | Total to be Processed During Period | 150 |

D. Cases Closed

|     |                                 |           |
|-----|---------------------------------|-----------|
| 1.  | Disbarred                       | <u>2</u>  |
| 2.  | Disciplinary Resignations       | <u>1</u>  |
| 3.  | Suspended*****                  | <u>11</u> |
| 4.  | Censured                        | <u>5</u>  |
| 5.  | Privately Censured              | <u>0</u>  |
| 6.  | Remanded to Grievance Committee | <u>2</u>  |
| 7.  | Discontinued                    | <u>1</u>  |
| 8.  | Dismissed                       | <u>0</u>  |
| 9.  | Reinstatement Granted           | <u>9</u>  |
| 10. | Reinstatement Denied            | <u>5</u>  |
| 11. | Non-Disciplinary Resignations   | <u>23</u> |
| 12. | All other Dispositions          | <u>24</u> |
| 13. | Total Closed                    | <u>83</u> |


Total Cases Pending at End of Period 67

E.

|    |                          |           |
|----|--------------------------|-----------|
| 1. | Disciplinary Proceedings | <u>44</u> |
| 2. | Other                    | <u>23</u> |

Dated: Brooklyn, New York  
1-Feb-19

Respectfully Submitted,

  
Diana Maxfield Kearse  
Chief Counsel

\* Cases refers to the number of respondent/attorneys. As some attorneys are the subject of multiple complaints, the number of matters may exceed the number of cases.

\*\* Matters represents individual complaints, inquiries and sua sponte investigations. This does not include telephone inquiries.

\*\*\* Other for the purposes of Part II includes files closed for any reason not otherwise covered under Sections A - I (e.g., files pending but not included within the disciplinary proceeding at the time of respondent's disbarment; files closed pending outcome of ongoing litigation; files closed due to respondent's death).

\*\*\*\* Disciplinary Proceeding includes only those proceedings before the Court which may result in final discipline including: any Court ordered disciplinary proceeding, motion to strike for felony conviction, reciprocal discipline, resignation under investigation.

\*\*\*\*\* Other for the purposes of Part III includes all other proceedings before the Court which may not result in final discipline (e.g., motion for interim suspension, petition for authorization, application for medical exam, application for conservator, motion to reargue, appeals, application for reinstatement, notification to Court of criminal conviction, voluntary resignation and request for disclosure order).

\*\*\*\*\*Suspended includes definite, interim, and indefinite suspensions.

**ATTORNEY DISCIPLINARY ACTIVITIES**  
**YEAR-END (January 1, through December 31, 2018)**

NINTH JUDICIAL DISTRICT

SECOND DEPARTMENT

**I. MATTERS PROCESSED:**

|  |      |      |
|--|------|------|
| A. Matters Pending at Start of Period                  | 584  |      |
| B. New Matters During Period                           | 1856 |      |
| C. Closed Matters Reactivated During Period            | 21   |      |
| D. Total Matters to be Processed During Period (A+B+C) |      | 2461 |
| E. Total Matters Disposed of During Period             |      | 1644 |
| F. Matters Pending at end of Period                    |      | 817  |

**II. MATTERS DISPOSED OF BY COMMITTEE:**

|   | <u>Cases</u> | <u>Matters</u> |
|---|--------------|----------------|
| A. Rejected as Failing to State a Complaint           | 622          | 622            |
| B. Referred to Other Disciplinary Committees          | 253          | 253            |
| C. Referred to Other Agencies                         | 42           | 42             |
| D. Dismissed or Withdrawn                             | 301          | 301            |
| E. Dismissed Through Mediation                        | 0            | 0              |
| F. Letter of Advisement                               | 133          | 133            |
| G. Letter of Admonition                               | 55           | 55             |
| H. Reprimand  | 0            | 0              |
| I. Referred to Appellate Division (Disc. Proc.)       | 87           | 113            |
| J. Other  | 125          | 125            |
| Total Disposed of During Period (same as I. E above): | 1618         | 1644           |

**III. CASES PROCESSED IN ALL COURTS:**

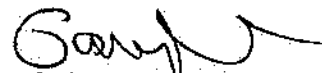
|  | <u>Cases</u> | <u>Matters</u> |
|--|--------------|----------------|
| A. Cases Pending at Start of Period    | 24           | 50             |
| 1. Disciplinary Proceedings            | 10 (17)      |                |
| 2. Other                               | 14 (33)      |                |
| B. Cases Received During Period        | 87           | 113            |
| 1. Disciplinary Proceedings            | 19 (41)      |                |
| 2. Other                               | 68 (72)      |                |
| C. Total to be Processed During Period | 111          | 163            |

ATTORNEY DISCIPLINARY ACTIVITIES

|   | <u>Cases</u> | <u>Matters</u> |
|---|--------------|----------------|
| D. Cases Closed                         |              |                |
| 1. Disbarred                            | 4            | 4              |
| 2. Disciplinary Resignation             | 2            | 2              |
| 3. Suspended                            | 9            | 39             |
| 4. Censured                             | 1            | 1              |
| 5. Privately Censured                   | 0            | 0              |
| 6. Remanded to Grievance Committee      | 0            | 0              |
| 7. Discontinued                         | 0            | 0              |
| 8. Dismissed                            | 0            | 0              |
| 9. Reinstatements Granted               | 0            | 0              |
| 10. Reinstatements Denied               | 1            | 1              |
| 11. Non-Disciplinary Resignation        | 28           | 28             |
| 12. All Other Dispositions              | 19           | 19             |
| 13. Total Closed                        | 64           | 94             |
| E. Total Cases Pending at End of Period | 47           | 69             |
| 1. Disciplinary Proceedings             | 19 (41)      |                |
| 2. Other                                | 28 (28)      |                |

DATED: January 14, 2019  
White Plains, New York

Respectfully submitted,

  
GARY L. CASELLA  
Chief Counsel

UCS-176

**ATTORNEY DISCIPLINARY ACTIVITIES**  
PERIOD COVERED (Annual)  
JANUARY - DECEMBER 2018

TENTH JUDICIAL DISTRICT

SECOND DEPARTMENT

**I. MATTERS PROCESSED:**

|  |           |             |
|--|-----------|-------------|
| A. Matters Pending at Start of Period          | 1,051     |             |
| B. New Matters During Period                   | 1,883     |             |
| C. Closed Matters Re-activated During Period   | <u>25</u> |             |
| D. Total Matters to be Processed During Period |           | 2,959       |
| E. Total Matters Disposed of During Period     |           | <u>1974</u> |
| F. Matters Pending at End of Period            |           | 985         |

**II. MATTERS DISPOSED OF BY COMMITTEE**

|  | <b>Cases</b> | <b>Matters</b> |
|--|--------------|----------------|
| A. Rejected as Failing to State a Complaint  | 825          | 825            |
| B. Referred to Other Disciplinary Committees | 211          | 211            |
| C. Referred to Other Agencies                | 99           | 99             |
| D. Dismissed or Withdrawn                    | 278          | 278            |
| E. Dismissed through Mediation               | 15           | 15             |
| F. Letter of Caution                         | 1            | 1              |
| G. Letter of Advisement                      | 224          | 229            |
| H. Letter of Admonition                      | 27           | 31             |
| I. Reprimand                                 | 0            | 0              |
| J. Referred to Appellate Division (DPs)      | 35           | 36             |
| K. Other                                     | <u>91</u>    | <u>249</u>     |
| Total Disposed of During Period              | 1806         | 1974           |

**III. CASES PROCESSED IN ALL COURTS**

|  |            |
|--|------------|
| A. Cases Pending at Start of Period    | <u>59</u>  |
| 1. Disciplinary Proceedings            | 27         |
| 2. Other                               | 32         |
| B. Cases Received During Period        | <u>106</u> |
| 1. Disciplinary Proceedings            | 35         |
| 2. Other                               | 71         |
| C. Total to be Processed During Period | <u>165</u> |

**ATTORNEY DISCIPLINARY ACTIVITIES**

D. Cases Closed

|                                    |           |
|------------------------------------|-----------|
| 1. Disbarred                       | 6         |
| 2. Disciplinary Resignations       | 3         |
| 3. Suspended                       | 11        |
| 4. Censured                        | 2         |
| 5. Privately Censured              | 0         |
| 6. Remanded to Grievance Committee | 6         |
| 7. Discontinued                    | 5         |
| 8. Dismissed                       | 0         |
| 9. Reinstatements Granted          | 5         |
| 10. Reinstatements Denied          | 3         |
| 11. Non-Disciplinary Resignation   | 21        |
| 12. All Other Dispositions         | <u>22</u> |
| 13. Total Closed                   | <u>84</u> |

E. Total Cases Pending at End of Period 81

|                             |    |
|-----------------------------|----|
| 1. Disciplinary Proceedings | 40 |
| 2. Other                    | 41 |

Dated: January 9, 2019  
Hauppauge, New York

Respectfully Submitted,



CATHERINE A. SHERIDAN  
Acting Chief Counsel