

ATTORNEY DISCIPLINARY ACTIVITIES
PERIOD COVERED (1/1/20 to 12/31/20 ANNUAL)

SECOND, ELEVENTH AND THIRTEENTH JUDICIAL DISTRICTS

SECOND DEPARTMENT

I. MATTERS PROCESSED:

| | | |
|----|---|--------------|
| A. | Matters Pending at Start of Period | 1,215 |
| B. | New Matters During Period | <u>1,492</u> |
| C. | Closed Matters Reactivated During Period | <u>15</u> |
| D. | Total Matters to be Processed During Period (A+B+C) | 2,722 |
| E. | Total Matters Disposed of During Period | <u>1,629</u> |
| F. | Matters Pending at End of Period | <u>1,093</u> |

II. MATTERS DISPOSED OF BY COMMITTEE:

| | <u>CASES*</u> | <u>MATTERS**</u> |
|---|---------------|------------------|
| A. | 669 | 669 |
| B. | 222 | 223 |
| C. | 11 | 11 |
| D. | 107 | 116 |
| E. | 0 | 0 |
| F. | 0 | 0 |
| F-1 | 90 | 92 |
| G. | 38 | 45 |
| H. | 0 | 0 |
| I. | 24 | 46 |
| J. | 425 | 427 |
| | <u>1,586</u> | <u>1,629</u> |
| Total Disposed of During Period (Same as I E above) | | |

III. CASES PROCESSED IN ALL COURTS:

| | | |
|----|-------------------------------------|------------|
| A. | Cases Pending at Start of Period | <u>60</u> |
| | 1. Disciplinary Proceedings**** | <u>40</u> |
| | 2. Other***** | <u>20</u> |
| B. | Cases Received During Period | <u>75</u> |
| | 1. Disciplinary Proceedings | <u>25</u> |
| | 2. Other | <u>50</u> |
| C. | Total to be Processed During Period | <u>135</u> |

D. Cases Closed

| | | |
|-----|---------------------------------|-----------|
| 1. | Disbarred | <u>15</u> |
| 2. | Disciplinary Resignations | <u>4</u> |
| 3. | Suspended***** | <u>15</u> |
| 4. | Censured | <u>2</u> |
| 5. | Privately Censured | <u>0</u> |
| 6. | Remanded to Grievance Committee | <u>1</u> |
| 7. | Discontinued | <u>1</u> |
| 8. | Dismissed | <u>0</u> |
| 9. | Reinstatement Granted | <u>3</u> |
| 10. | Reinstatement Denied | <u>10</u> |
| 11. | Non-Disciplinary Resignations | <u>10</u> |
| 12. | All other Dispositions | <u>14</u> |
| 13. | Total Closed | <u>75</u> |

Total Cases Pending at End of Period 60

E.

| | | |
|----|--------------------------|-----------|
| 1. | Disciplinary Proceedings | <u>30</u> |
| 2. | Other | <u>30</u> |

Dated: Brooklyn, New York
12-Jan-21

Respectfully Submitted,


Diana Maxfield Kearse
Chief Counsel

* Cases refers to the number of respondent/attorneys. As some attorneys are the subject of multiple complaints, the number of matters may exceed the number of cases.

** Matters represents individual complaints, inquiries and sua sponte investigations. This does not include telephone inquiries.

*** Other for the purposes of Part II includes files closed for any reason not otherwise covered under Sections A -I (e.g., files pending but not included within the disciplinary proceeding at the time of respondent's disbarment; files closed pending outcome of ongoing litigation; files closed due to respondent's death).

**** Disciplinary Proceeding includes only those proceedings before the Court which may result in final discipline including: any Court ordered disciplinary proceeding, motion to strike for felony conviction, reciprocal discipline, resignation under investigation.

***** Other for the purposes of Part III includes all other proceedings before the Court which may not result in final discipline (e.g., motion for interim suspension, petition for authorization, application for medical exam, application for conservator, motion to reargue, appeals, application for reinstatement, notification to Court of criminal conviction, voluntary resignation and request for disclosure order).

*****Suspended includes definite, interim, and indefinite suspensions.

PROFESSIONAL MATTERS CASELOAD REPORT
(Proposed Revision to current UCS-176 Form)

GRIEVANCE COMMITTEE: [Grievance Committee for the 9th JD](#)
REPORT PERIOD: [2020 Year End](#)
DATE: [4/20/2021](#)

I. PROFESSIONAL MATTERS¹ PROCESSED BY THE COMMITTEE:

| | |
|---|----------------------------|
| A. Matters Pending at Start of Report Period | <u>485</u> |
| B. New Matters During Report Period (see Part II, infra) | <u>1251</u> |
| C. Closed Matters Reactivated During Report Period ² | <u>8</u> |
| D. Total Matters to be Processed During Report Period (A+B+C) | <u>1744</u> |
| E. Total Matters Disposed of During Report Period (see Parts III & IV, infra) | <u>963</u> |
| F. Matters Pending at End of Report Period (I.D - I.E) | <u>781 674*</u> |

II. NEW MATTERS DURING REPORT PERIOD: 2nd - 4th only

| | |
|---|------------|
| A. Sua Sponte Investigations Authorized by Committee Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (a) (1) ³ | <u>328</u> |
| B. Cases Referred by the Court for Examination Due to Reversal of a Criminal Conviction | |
| 1. On the Ground of Ineffective Assistance of Counsel | <u>0</u> |
| 2. On the Ground of Prosecutorial Misconduct | <u>0</u> |
| C. Matters Arising Under Judiciary Law § 90 (4) (c) and Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.12 (a) | <u>4</u> |
| D. Matters Arising Under Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.13 (d) | <u>3</u> |

¹ The term "Matters" refers to individual files generated by complaint, inquiry or other filing at the Committee level and any one respondent may be the subject of multiple matters simultaneously.

² May also include closed matters which have become subject to Court review pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (e) or CPLR art 56.

³ May include Dishonored Check Reports pursuant to Rules of Appellate Division, All Departments (22 NYCRR) § 1300.1 (g).

*We reduced the number of cases pending by 107 because we noticed a discrepancy. Although we attempted to identify when and what caused this error, there are too many possibilities to determine the cause. Among the problems encountered was the staff member who prepared this form over many years retired, and we are using a new statistical form. In addition our database reports were not programmed to generate the correct information. Additional possible causes include a mathematical error, transposed number or misidentified cases and matters.

| | |
|--|---------------------------------|
| E. Matters Arising Under Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.9 ⁴ | <u>0</u> |
| F. Motions for Reinstatement Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) §§ 1240.16, 1240.17 & 1240.22 (b) | <u>7</u> |
| G. Applications for Leave to Resign Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.22 (a) | <u>5</u> |
| H. Attorney Solicitation Filings Pursuant to Rules of Professional Conduct (22 NYCRR 1200.0) rule 7.3 (c) (1) | <u>20</u> |
| I. Disciplinary History Letter Requests and Other Inquiries | <u>291</u> |
| J. All Other Complaints Filed or Transferred in Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (a) (1) or (2) | <u>431</u> |
| TOTAL NEW MATTERS | <u>1089</u> (+170 1st Q =1259) |

III. MATTERS DISPOSED OF BY CHIEF ATTORNEY:

| | |
|---|------------|
| A. Matters Disposed of Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (d) (1) (i) | <u>266</u> |
| B. Matters Referred to Other Agencies Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (d) (1) (ii) | <u>39</u> |
| C. Matters Transferred to Another Grievance Committee Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (a) (2) | <u>92</u> |
| D. Other⁵ | <u>345</u> |
| TOTAL DISPOSED OF BY CHIEF ATTORNEY | <u>742</u> |

⁴ Refers to a new Matter arising from an existing file where the respondent has failed to cooperate with the Committee investigation (§ 1240.9 [a] [1], [3]), or where the investigation has given rise to an admission by the respondent under oath (§ 1240.9 [a] [2]), or revealed that the respondent has willfully failed to paid money to a client (§ 1240.9 [a] [4]) or exposed uncontroverted evidence of the respondent's misconduct (§ 1240.9 [a] [5]).

⁵ Includes acknowledgment of Attorney Solicitation Filings pursuant to Rules of Professional Conduct (22 NYCRR 1200.0) rule 7.3 (c) (1), the provision of disciplinary history letters upon request and other closed inquiries.

IV. MATTERS DISPOSED OF BY COMMITTEE:

| | |
|--|---------------------------|
| A. Matters Disposed of Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (d) (2) (i) or (ii) | <u>99</u> |
| B. Issuance of a Letter of Advisement Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (d) (2) (iv) | <u>53</u> |
| C. Issuance of an Admonition Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (d) (2) (v) | <u>23</u> |
| D. Matters Referred to the Appellate Division for Action | |
| 1. Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.8 | <u>10</u> |
| 2. Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.9 (see Part II.E, supra) | <u>5</u> |
| 3. Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.10 (for Matters under investigation) | <u>6</u> |
| 4. Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.11 | <u>0</u> |
| 5. Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.12 | <u>4</u> |
| 6. Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.13 | <u>2</u> |
| 7. Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.14 | <u>1</u> |
| TOTAL REFERRED TO APPELLATE DIVISION | <u>28 (+5 1st Q) = 33</u> |
| E. Responsive Filings | |
| 1. On Motions for Reinstatement Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) §§ 1240.16, 1240.17 and 1240.22 (b) (see Part II.F, supra) | <u>7</u> |

| | |
|---|------------|
| 2. On Applications for Leave to Resign Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.22 | |
| (a) (<u>see</u> Part II.G, <u>supra</u>) | <u>5</u> |
| 3. On Motions for Court Review Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (e) | |
| (1) (ii) and (2) (<u>see</u> Part I.C and associated footnote, <u>supra</u>) | <u>1</u> |
| TOTAL RESPONSIVE FILINGS | <u>13</u> |
| TOTAL DISPOSED OF BY COMMITTEE | <u>221</u> |
| V. <u>CASES⁶ PROCESSED BY THE APPELLATE DIVISION:</u> | |
| A. Cases Pending at Start of Report Period | <u>45</u> |
| B. Cases Initiated During Report Period ⁷ | <u>36</u> |
| C. Cases Disposed of During Report Period (<u>see</u> Part VI, <u>infra</u>) | <u>41</u> |
| D. Cases Pending at End of Report Period (A + B - C) | <u>40</u> |
| VI. <u>CASES DISPOSED OF BY THE APPELLATE DIVISION:</u> | |
| A. Disbarments, including disciplinary resignation | <u>11</u> |
| B. Suspensions (Including Pursuant to Rules for Attorney Disciplinary Matters [22 NYCRR] §§ 1240.9 and 1240.14) | <u>8</u> |
| C. Censures | <u>2</u> |
| D. Dismissed | <u>0</u> |
| E. Reinstatements Granted | <u>3</u> |
| F. Reinstatements Denied | <u>2</u> |
| G. Nondisciplinary Resignations Granted | <u>6</u> |

⁶ The term "Cases" refers to filings made at the Appellate Division level, is respondent-specific, and any such Case may encompass what was previously multiple Matters at the Committee level.

⁷ Includes Cases referred to the Appellate Division (see Part IV.D, supra), motions for reinstatement pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) §§ 1240.16, 1240.17 and 1240.22 (b) (see Part II.F, supra), applications for leave to resign pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.22 (a) (see Part II.G, supra), and motions for Court review pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (e) (1) (ii) and (2) (see Parts I.C and IV.E.3).

| | |
|---|-------------------------|
| H. Nondisciplinary Resignations Denied | <u>0</u> |
| I. Other | <u>9</u> |
| TOTAL DISPOSED OF BY THE APPELLATE DIVISION | <u>41</u> |
| VII. <u>PRACTICE AREA STATISTICS:</u> | 2nd - 4th Q Only |
| A. CRIMINAL LAW | |
| 1. New Matters During Report Period (<u>see</u> Part II, <u>supra</u>) | |
| Pertaining to Prosecutors | <u>18</u> |
| Pertaining to Defense Counsel | <u>59</u> |
| 2. Matters Disposed of Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (d) (1) or (2) (i), (ii) or (iv) | |
| Pertaining to Prosecutors | <u>16</u> |
| Pertaining to Defense Counsel | <u>56</u> |
| 3. Matters Disposed of Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (d) (2) (v) and Cases Disposed of by the Appellate Division (<u>see</u> Part VI.A-VI.C, <u>supra</u>) | |
| Pertaining to Prosecutors | <u>0</u> |
| Pertaining to Defense Counsel | <u>0</u> |
| B. IMMIGRATION LAW | |
| 1. New Matters During Report Period (<u>see</u> Part II, <u>supra</u>) | <u>17</u> |
| 2. Matters Disposed of Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (d) (1) or (2) (i), (ii) or (iv) | <u>15</u> |
| 3. Matters Disposed of Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (d) (2) (v) and Cases Disposed of by the Appellate Division (<u>see</u> Part VI.A-VI.C, <u>supra</u>) | <u>0</u> |

| | |
|--|-----------|
| C. FAMILY & MATRIMONIAL LAW | |
| 1. New Matters During Report Period (<u>see Part II, supra</u>) | <u>69</u> |
| 2. Matters Disposed of Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (d) (1) or (2) (i), (ii) or (iv) | <u>69</u> |
| 3. Matters Disposed of Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (d) (2) (v) and Cases Disposed of by the Appellate Division (<u>see Part VI.A-VI.C, supra</u>) | <u>4</u> |
| D. REAL PROPERTY | |
| 1. New Matters During Report Period (<u>see Part II, supra</u>) | <u>64</u> |
| 2. Matters Disposed of Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (d) (1) or (2) (i), (ii) or (iv) | <u>56</u> |
| 3. Matters Disposed of Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (d) (2) (v) and Cases Disposed of by the Appellate Division (<u>see Part VI.A-VI.C, supra</u>) | <u>6</u> |
| E. TRUSTS & ESTATES | |
| 1. New Matters During Report Period (<u>see Part II, supra</u>) | <u>35</u> |
| 2. Matters Disposed of Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (d) (1) or (2) (i), (ii) or (iv) | <u>33</u> |
| 3. Matters Disposed of Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (d) (2) (v) and Cases Disposed of by the Appellate Division (<u>see Part VI.A-VI.C, supra</u>) | <u>0</u> |
| F. COMMERCIAL LITIGATION | |
| 1. New Matters During Report Period (<u>see Part II, supra</u>) | <u>13</u> |

2. Matters Disposed of Pursuant to Rules for Attorney
Disciplinary Matters (22 NYCRR) § 1240.7 (d) (1) or
(2) (i), (ii) or (iv) 10

3. Matters Disposed of Pursuant to Rules for Attorney
Disciplinary Matters (22 NYCRR) § 1240.7 (d) (2) (v) and
Cases Disposed of by the Appellate Division
(see Part VI.A-VI.C, supra) 1

G. OTHER CIVIL LITIGATION

1. New Matters During Report Period (see Part II, supra) 105

2. Matters Disposed of Pursuant to Rules for Attorney
Disciplinary Matters (22 NYCRR) § 1240.7 (d) (1) or
(2) (i), (ii) or (iv) 91

3. Matters Disposed of Pursuant to Rules for Attorney
Disciplinary Matters (22 NYCRR) § 1240.7 (d) (2) (v) and
Cases Disposed of by the Appellate Division
(see Part VI.A-VI.C, supra) 4

PROFESSIONAL MATTERS CASELOAD REPORT
(Proposed Revision to current UCS-176 Form)

GRIEVANCE COMMITTEE: NYS GRIEVANCE COMMITTEE, TENTH JUDICIAL DISTRICT
REPORT PERIOD: ANNUAL REPORT - 2020
DATE: FEBRUARY 11, 2021

I. PROFESSIONAL MATTERS¹ PROCESSED BY THE COMMITTEE:

| | |
|---|--------------|
| A. Matters Pending at Start of Report Period | <u>558</u> |
| B. New Matters During Report Period (see Part II, <i>infra</i>) | <u>2,051</u> |
| C. Closed Matters Reactivated During Report Period ² | <u>28</u> |
| D. Total Matters to be Processed During Report Period (A+B+C) | <u>2,637</u> |
| E. Total Matters Disposed of During Report Period (see Parts III & IV, <i>infra</i>) | <u>1,666</u> |
| F. Matters Pending at End of Report Period (I.D - I.E) | <u>971</u> |

II. NEW MATTERS DURING REPORT PERIOD:

| | |
|---|------------|
| A. Sua Sponte Investigations Authorized by Committee Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (a) (1) ³ | <u>640</u> |
| B. Cases Referred by the Court for Examination Due to Reversal of a Criminal Conviction | |
| 1. On the Ground of Ineffective Assistance of Counsel | <u>0</u> |
| 2. On the Ground of Prosecutorial Misconduct | <u>0</u> |
| C. Matters Arising Under Judiciary Law § 90 (4) (c) and Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.12 (a) | <u>17</u> |
| D. Matters Arising Under Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.13 (d) | <u>1</u> |

¹ The term "Matters" refers to individual files generated by complaint, inquiry or other filing at the Committee level and any one respondent may be the subject of multiple matters simultaneously.

² May also include closed matters which have become subject to Court review pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (e) or CPLR art 56.

³ May include Dishonored Check Reports pursuant to Rules of Appellate Division, All Departments (22 NYCRR) § 1300.1 (g).

| | |
|--|--------------|
| E. Matters Arising Under Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.9 ⁴ | <u>2</u> |
| F. Motions for Reinstatement Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) §§ 1240.16, 1240.17 & 1240.22 (b) | <u>10</u> |
| G. Applications for Leave to Resign Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.22 (a) | <u>4</u> |
| H. Attorney Solicitation Filings Pursuant to Rules of Professional Conduct (22 NYCRR 1200.0) rule 7.3 (c) (1) | <u>42</u> |
| I. Disciplinary History Letter Requests and Other Inquiries | <u>422</u> |
| J. All Other Complaints Filed or Transferred in Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (a) (1) or (2) | <u>946</u> |
| TOTAL NEW MATTERS | <u>2,051</u> |

III. MATTERS DISPOSED OF BY CHIEF ATTORNEY:

| | |
|---|--------------|
| A. Matters Disposed of Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (d) (1) (i) | <u>464</u> |
| B. Matters Referred to Other Agencies Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (d) (1) (ii) | <u>57</u> |
| C. Matters Transferred to Another Grievance Committee Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (a) (2) | <u>88</u> |
| D. Other⁵ | <u>597</u> |
| TOTAL DISPOSED OF BY CHIEF ATTORNEY | <u>1,206</u> |

⁴ Refers to a new Matter arising from an existing file where the respondent has failed to cooperate with the Committee investigation (§ 1240.9 [a] [1], [3]), or where the investigation has given rise to an admission by the respondent under oath (§ 1240.9 [a] [2]), or revealed that the respondent has willfully failed to paid money to a client (§ 1240.9 [a] [4]) or exposed uncontroverted evidence of the respondent's misconduct (§ 1240.9 [a] [5]).

⁵ Includes acknowledgment of Attorney Solicitation Filings pursuant to Rules of Professional Conduct (22 NYCRR 1200.0) rule 7.3 (c) (1), the provision of disciplinary history letters upon request and other closed inquiries.

IV. MATTERS DISPOSED OF BY COMMITTEE:

| | |
|--|------------|
| A. Matters Disposed of Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (d) (2) (i) or (ii) | <u>235</u> |
| B. Issuance of a Letter of Advisement Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (d) (2) (iv) | <u>87</u> |
| C. Issuance of an Admonition Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (d) (2) (v) | <u>24</u> |
| D. Matters Referred to the Appellate Division for Action | |
| 1. Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.8 | <u>81</u> |
| 2. Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.9 (see Part II.E, supra) | <u>4</u> |
| 3. Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.10 (for Matters under investigation) | <u>4</u> |
| 4. Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.11 | <u>0</u> |
| 5. Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.12 | <u>12</u> |
| 6. Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.13 | <u>2</u> |
| 7. Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.14 | <u>0</u> |
| TOTAL REFERRED TO APPELLATE DIVISION | <u>103</u> |
| E. Responsive Filings | |
| 1. On Motions for Reinstatement Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) §§ 1240.16, 1240.17 and 1240.22 (b) (see Part II.F, supra) | <u>11</u> |

| | |
|---|------------|
| 2. On Applications for Leave to Resign Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.22 | |
| (a) (<u>see</u> Part II.G, <u>supra</u>) | <u>0</u> |
| 3. On Motions for Court Review Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (e) | |
| (1) (ii) and (2) (<u>see</u> Part I.C and associated footnote, <u>supra</u>) | <u>0</u> |
| TOTAL RESPONSIVE FILINGS | <u>11</u> |
| TOTAL DISPOSED OF BY COMMITTEE | <u>460</u> |
| V. <u>CASES⁶ PROCESSED BY THE APPELLATE DIVISION:</u> | |
| A. Cases Pending at Start of Report Period | <u>126</u> |
| B. Cases Initiated During Report Period ⁷ | <u>65</u> |
| C. Cases Disposed of During Report Period (<u>see</u> Part VI, <u>infra</u>) | <u>124</u> |
| D. Cases Pending at End of Report Period (A + B - C) | <u>67</u> |
| VI. <u>CASES DISPOSED OF BY THE APPELLATE DIVISION:</u> | |
| A. Disbarments, including disciplinary resignation | <u>20</u> |
| B. Suspensions (Including Pursuant to Rules for Attorney Disciplinary Matters [22 NYCRR] §§ 1240.9 and 1240.14) | <u>57</u> |
| C. Censures | <u>4</u> |
| D. Dismissed | <u>4</u> |
| E. Reinstatements Granted | <u>6</u> |
| F. Reinstatements Denied | <u>6</u> |
| G. Nondisciplinary Resignations Granted | <u>6</u> |

⁶ The term "Cases" refers to filings made at the Appellate Division level, is respondent-specific, and any such Case may encompass what was previously multiple Matters at the Committee level.

⁷ Includes Cases referred to the Appellate Division (see Part IV.D, supra), motions for reinstatement pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) §§ 1240.16, 1240.17 and 1240.22 (b) (see Part II.F, supra), applications for leave to resign pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.22 (a) (see Part II.G, supra), and motions for Court review pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (e) (1) (ii) and (2) (see Parts I.C and IV.E.3).

| | |
|---|------------|
| H. Nondisciplinary Resignations Denied | <u>0</u> |
| I. Other | <u>23</u> |
| TOTAL DISPOSED OF BY THE APPELLATE DIVISION | <u>126</u> |
| VII. <u>PRACTICE AREA STATISTICS:</u> | |
| A. CRIMINAL LAW | |
| 1. New Matters During Report Period (<u>see</u> Part II, <u>supra</u>) | |
| Pertaining to Prosecutors | <u>22</u> |
| Pertaining to Defense Counsel | <u>61</u> |
| 2. Matters Disposed of Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (d) (1) or (2) (i), (ii) or (iv) | |
| Pertaining to Prosecutors | <u>19</u> |
| Pertaining to Defense Counsel | <u>56</u> |
| 3. Matters Disposed of Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (d) (2) (v) and Cases Disposed of by the Appellate Division (<u>see</u> Part VI.A-VI.C, <u>supra</u>) | |
| Pertaining to Prosecutors | <u>0</u> |
| Pertaining to Defense Counsel | <u>1</u> |
| B. IMMIGRATION LAW | |
| 1. New Matters During Report Period (<u>see</u> Part II, <u>supra</u>) | <u>28</u> |
| 2. Matters Disposed of Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (d) (1) or (2) (i), (ii) or (iv) | <u>22</u> |
| 3. Matters Disposed of Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (d) (2) (v) and Cases Disposed of by the Appellate Division (<u>see</u> Part VI.A-VI.C, <u>supra</u>) | <u>7</u> |

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|---|------------|
| C. FAMILY & MATRIMONIAL LAW | |
| 1. New Matters During Report Period (<u>see</u> Part II, <u>supra</u>) | <u>137</u> |
| 2. Matters Disposed of Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (d) (1) or (2) (i), (ii) or (iv) | <u>89</u> |
| 3. Matters Disposed of Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (d) (2) (v) and Cases Disposed of by the Appellate Division (<u>see</u> Part VI.A-VI.C, <u>supra</u>) | <u>4</u> |
| D. REAL PROPERTY | |
| 1. New Matters During Report Period (<u>see</u> Part II, <u>supra</u>) | <u>117</u> |
| 2. Matters Disposed of Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (d) (1) or (2) (i), (ii) or (iv) | <u>153</u> |
| 3. Matters Disposed of Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (d) (2) (v) and Cases Disposed of by the Appellate Division (<u>see</u> Part VI.A-VI.C, <u>supra</u>) | <u>9</u> |
| E. TRUSTS & ESTATES | |
| 1. New Matters During Report Period (<u>see</u> Part II, <u>supra</u>) | <u>70</u> |
| 2. Matters Disposed of Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (d) (1) or (2) (i), (ii) or (iv) | <u>169</u> |
| 3. Matters Disposed of Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (d) (2) (v) and Cases Disposed of by the Appellate Division (<u>see</u> Part VI.A-VI.C, <u>supra</u>) | <u>2</u> |
| F. COMMERCIAL LITIGATION | |
| 1. New Matters During Report Period (<u>see</u> Part II, <u>supra</u>) | <u>26</u> |

2. Matters Disposed of Pursuant to Rules for Attorney
Disciplinary Matters (22 NYCRR) § 1240.7 (d) (1) or
(2) (i), (ii) or (iv) 17

3. Matters Disposed of Pursuant to Rules for Attorney
Disciplinary Matters (22 NYCRR) § 1240.7 (d) (2) (v) and
Cases Disposed of by the Appellate Division
(see Part VI.A-VI.C, supra) 0

G. OTHER CIVIL LITIGATION

1. New Matters During Report Period (see Part II, supra) 40

2. Matters Disposed of Pursuant to Rules for Attorney
Disciplinary Matters (22 NYCRR) § 1240.7 (d) (1) or
(2) (i), (ii) or (iv) 25

3. Matters Disposed of Pursuant to Rules for Attorney
Disciplinary Matters (22 NYCRR) § 1240.7 (d) (2) (v) and
Cases Disposed of by the Appellate Division
(see Part VI.A-VI.C, supra) 1