

**ATTORNEY DISCIPLINARY ACTIVITIES**PERIOD COVERED (ANNUAL REPORT 2014)

THIRD JUDICIAL DISTRICT

THIRD DEPARTMENT

**I. MATTERS PROCESSED: \***

A. Matters Pending at Start of Period	<u>2658</u>	
B. New Matters During Period	<u>1221</u>	
C. Closed Matters Reactivated During Period	<u>6</u>	
D. Total Matters to be Processed During Period (A+B+C)		<u>3885</u>
E. Total Matters Disposed of During Period		<u>2913</u>
F. Matters Pending at End of Period		<u>972</u>

**II. MATTERS DISPOSED OF BY COMMITTEE:**

	Cases**	Matters
A. Rejected as Failing to State a Complaint	<u>475</u>	<u>523</u>
B. Referred to Other Disciplinary Committees	<u>102</u>	<u>116</u>
C. Referred to Other Agencies	<u>22</u>	<u>26</u>
D. Dismissed or Withdrawn	<u>187</u>	<u>208</u>
E. Dismissed through Mediation	<u>0</u>	<u>0</u>
F. Letter of Caution	<u>38</u>	<u>39</u>
G. Letter of Admonition	<u>25</u>	<u>28</u>
H. Admonition (or Reprimand)	<u>17</u>	<u>26</u>
I. Referred to Appellate Division (Disc. Proc.)	<u>1914</u>	<u>1947</u>
Total Disposed of During Period (same as I.E above.)	<u>2780</u>	<u>2913</u>

**III. CASES PROCESSED IN ALL COURTS:**

A. Cases Pending at Start of Period		<u>1816</u>
1. Disciplinary Proceedings	<u>1816</u>	
2. Other	<u>0</u>	
B. Cases Received During Period		<u>155</u>
1. Disciplinary Proceedings	<u>85</u>	
2. Other	<u>70</u>	
C. Total to be Processed During Period		<u>1971</u>

**ATTORNEY DISCIPLINARY ACTIVITIES**

D.	Cases Closed	
	1. Disbarred	<u>13</u>
	2. Disciplinary Resignations	<u>0</u>
	3. Suspended***	<u>1645</u>
	4. Censured	<u>3</u>
	5. Privately Censured	<u>0</u>
	6. Remanded to Grievance Committee	<u>0</u>
	7. Discontinued	<u>0</u>
	8. Dismissed	<u>2</u>
	9. Reinstatements Granted	<u>56</u>
	10. Reinstatements Denied	<u>4</u>
	11. Non-Disciplinary Resignations	<u>69</u>
	12. All Other Dispositions	<u>121</u>
	13. Total closed	<u>1913</u>
E.	Total Cases Pending at End of Period	<u>58</u>
	1. Disciplinary Proceedings	<u>58</u>
	2. Other	<u>0</u>

For the purposes of this report, the term "Matter" includes the following:

1. Complaints
2. Inquiries (Excluding telephone inquiries)
3. Sua Sponte investigations

\* Matters represents individual complaints and inquiries.

\*\* Cases refers to the number of respondent/attorneys. As some attorneys are the subject of multiple complaints, the number of matters may exceed the number of cases.

\*\*\* Includes definite, interim and indefinite suspensions.

**ATTORNEY DISCIPLINARY ACTIVITIES**PERIOD COVERED (ANNUAL REPORT 2015)

THIRD JUDICIAL DISTRICT

THIRD DEPARTMENT

**I. MATTERS PROCESSED: \***

A. Matters Pending at Start of Period	<u>970</u>	
B. New Matters During Period	<u>2095</u>	
C. Closed Matters Reactivated During Period	<u>3</u>	
D. Total Matters to be Processed During Period (A+B+C)		<u>3068</u>
E. Total Matters Disposed of During Period		<u>1267</u>
F. Matters Pending at End of Period		<u>1801</u>

**II. MATTERS DISPOSED OF BY COMMITTEE:**

	Cases**	Matters
A. Rejected as Failing to State a Complaint	<u>600</u>	<u>660</u>
B. Referred to Other Disciplinary Committees	<u>57</u>	<u>60</u>
C. Referred to Other Agencies	<u>20</u>	<u>32</u>
D. Dismissed or Withdrawn	<u>163</u>	<u>158</u>
E. Dismissed through Mediation	<u>0</u>	<u>0</u>
F. Letter of Caution	<u>42</u>	<u>44</u>
G. Letter of Admonition	<u>28</u>	<u>33</u>
H. Admonition (or Reprimand)	<u>8</u>	<u>10</u>
I. Referred to Appellate Division (Disc. Proc.)	<u>217</u>	<u>240</u>
Total Disposed of During Period (same as I.E above.)	<u>1136</u>	<u>1267</u>

**III. CASES PROCESSED IN ALL COURTS:**

A. Cases Pending at Start of Period		<u>58</u>
1. Disciplinary Proceedings	<u>58</u>	
2. Other	<u>0</u>	
B. Cases Received During Period		<u>189</u>
1. Disciplinary Proceedings	<u>80</u>	
2. Other	<u>109</u>	
C. Total to be Processed During Period		<u>266</u>

**ATTORNEY DISCIPLINARY ACTIVITIES**

D.	Cases Closed	
	1. Disbarred	<u>11</u>
	2. Disciplinary Resignations	<u>0</u>
	3. Suspended***	<u>13</u>
	4. Censured	<u>5</u>
	5. Privately Censured	<u>0</u>
	6. Remanded to Grievance Committee	<u>3</u>
	7. Discontinued	<u>0</u>
	8. Dismissed	<u>3</u>
	9. Reinstatements Granted	<u>50</u>
	10. Reinstatements Denied	<u>0</u>
	11. Non-Disciplinary Resignations	<u>122</u>
	12. All Other Dispositions	<u>14</u>
	13. Total closed	<u>221</u>
E.	Total Cases Pending at End of Period	<u>64</u>
	1. Disciplinary Proceedings	<u>64</u>
	2. Other	<u>0</u>

For the purposes of this report, the term "Matter" includes the following:

1. Complaints
2. Inquiries (Excluding telephone inquiries)
3. Sua Sponte investigations

\* Matters represents individual complaints and inquiries.

\*\* Cases refers to the number of respondent/attorneys. As some attorneys are the subject of multiple complaints, the number of matters may exceed the number of cases.

\*\*\* Includes definite, interim and indefinite suspensions.

**ATTORNEY DISCIPLINARY ACTIVITIES**PERIOD COVERED (ANNUAL REPORT 2016)

THIRD DEPARTMENT

**I. MATTERS PROCESSED: \***

A. Matters Pending at Start of Period	<u>1803</u>	
B. New Matters During Period	<u>1237</u>	
C. Closed Matters Reactivated During Period	<u>2</u>	
D. Total Matters to be Processed During Period (A+B+C)		<u>3042</u>
E. Total Matters Disposed of During Period		<u>1105</u>
F. Matters Pending at End of Period		<u>1937</u>

**II. MATTERS DISPOSED OF BY COMMITTEE:**

	Cases**	Matters
A. Rejected as Failing to State a Complaint	<u>500</u>	<u>569</u>
B. Referred to Other Disciplinary Committees	<u>79</u>	<u>84</u>
C. Referred to Other Agencies	<u>12</u>	<u>20</u>
D. Dismissed or Withdrawn	<u>125</u>	<u>139</u>
E. Dismissed through Mediation	<u>0</u>	<u>0</u>
F. Letter of Caution	<u>42</u>	<u>42</u>
G. Letter of Admonition	<u>29</u>	<u>31</u>
H. Admonition (or Reprimand)	<u>29</u>	<u>35</u>
I. Referred to Appellate Division (Disc. Proc.)	<u>170</u>	<u>185</u>
Total Disposed of During Period (same as I.E above.)	<u>986</u>	<u>1105</u>

**III. CASES PROCESSED IN ALL COURTS:**

A. Cases Pending at Start of Period		<u>64</u>
1. Disciplinary Proceedings	<u>290</u>	
2. Other	<u>0</u>	
Cases Received During Period		<u>190</u>
1. Disciplinary Proceedings	<u>41</u>	
2. Other	<u>149</u>	
B. Total to be Processed During Period		<u>254</u>

**ATTORNEY DISCIPLINARY ACTIVITIES**

C.	Cases Closed	
	1. Disbarred	<u>6</u>
	2. Disciplinary Resignations	<u>0</u>
	3. Suspended***	<u>16</u>
	4. Censured	<u>0</u>
	5. Privately Censured	<u>0</u>
	6. Remanded to Grievance Committee	<u>0</u>
	7. Discontinued	<u>0</u>
	8. Dismissed	<u>3</u>
	9. Reinstatements Granted	<u>36</u>
	10. Reinstatements Denied	<u>4</u>
	11. Non-Disciplinary Resignations	<u>76</u>
	12. All Other Dispositions	<u>27</u>
	13. Total closed	<u>168</u>
E.	Total Cases Pending at End of Period	<u>106</u>
	1. Disciplinary Proceedings	<u>70</u>
	2. Other	<u>36</u>

For the purposes of this report, the term "Matter" includes the following:

1. Complaints
2. Inquiries (Excluding telephone inquiries)
3. Sua Sponte investigations

\* Matters represents individual complaints and inquiries.

\*\* Cases refers to the number of respondent/attorneys. As some attorneys are the subject of multiple complaints, the number of matters may exceed the number of cases.

\*\*\* Includes definite, interim and indefinite suspensions.

**ATTORNEY DISCIPLINARY ACTIVITIES**PERIOD COVERED (ANNUAL REPORT 2017)

THIRD JUDICIAL DISTRICT

THIRD DEPARTMENT

**I. MATTERS PROCESSED: \***

A. Matters Pending at Start of Period	<u>1938</u>	
B. New Matters During Period	<u>1094</u>	
C. Closed Matters Reactivated During Period	<u>0</u>	
D. Total Matters to be Processed During Period (A+B+C)		<u>3032</u>
E. Total Matters Disposed of During Period		<u>1261</u>
F. Matters Pending at End of Period		<u>1771</u>

**II. MATTERS DISPOSED OF BY COMMITTEE:**

	Cases**	Matters
A. Rejected as Failing to State a Complaint	<u>488</u>	<u>521</u>
B. Referred to Other Disciplinary Committees	<u>87</u>	<u>98</u>
C. Referred to Other Agencies	<u>12</u>	<u>17</u>
D. Dismissed or Withdrawn	<u>183</u>	<u>199</u>
E. Dismissed through Mediation	<u>0</u>	<u>0</u>
F. Letter of Advisement	<u>42</u>	<u>49</u>
G. Admonition	<u>44</u>	<u>62</u>
H. Admonition-Personal Delivery	<u>6</u>	<u>7</u>
I. Referred to Appellate Division (Disc. Proc.)	<u>235</u>	<u>308</u>
Total Disposed of During Period (same as I.E above.)	<u>1097</u>	<u>1261</u>

**III. CASES PROCESSED IN ALL COURTS:**

A. Cases Pending at Start of Period		<u>106</u>
1. Disciplinary Proceedings	<u>70</u>	
2. Other	<u>36</u>	
B. Cases Received During Period		<u>160</u>
1. Disciplinary Proceedings	<u>64</u>	
2. Other	<u>96</u>	
C. Total to be Processed During Period		<u>266</u>

**ATTORNEY DISCIPLINARY ACTIVITIES**

D.	Cases Closed	
	1. Disbarred	11
	2. Disciplinary Resignations	<u>0</u>
	3. Suspended***	<u>13</u>
	4. Censured	<u>8</u>
	5. Privately Censured	<u>0</u>
	6. Remanded to Grievance Committee	<u>0</u>
	7. Discontinued	<u>0</u>
	8. Dismissed	<u>11</u>
	9. Reinstatements Granted	<u>14</u>
	10. Reinstatements Denied	<u>9</u>
	11. Non-Disciplinary Resignations	<u>120</u>
	12. All Other Dispositions	<u>56</u>
	13. Total closed	<u>242</u>
E.	Total Cases Pending at End of Period	<u>56</u>
	1. Disciplinary Proceedings	<u>31</u>
	2. Other	<u>25</u>

For the purposes of this report, the term "Matter" includes the following:

1. Complaints
2. Inquiries (Excluding telephone inquiries)
3. Sua Sponte investigations

\* Matters represents individual complaints and inquiries.

\*\* Cases refers to the number of respondent/attorneys. As some attorneys are the subject of multiple complaints, the number of matters may exceed the number of cases.

\*\*\* Includes definite, interim and indefinite suspensions.



**ATTORNEY DISCIPLINARY ACTIVITIES**PERIOD COVERED (ANNUAL REPORT 2018)

THIRD DEPARTMENT

**I. MATTERS PROCESSED: \***

A. Matters Pending at Start of Period	<u>1772</u>	
B. New Matters During Period	<u>3466</u>	
C. Closed Matters Reactivated During Period	<u>0</u>	
D. Total Matters to be Processed During Period (A+B+C)		<u>5238</u>
E. Total Matters Disposed of During Period		<u>1183</u>
F. Matters Pending at End of Period		<u>4055</u>

**II. MATTERS DISPOSED OF BY COMMITTEE:**

	Cases**	Matters
A. Rejected as Failing to State a Complaint	<u>489</u>	<u>525</u>
B. Referred to Other Disciplinary Committees	<u>96</u>	<u>112</u>
C. Referred to Other Agencies	<u>6</u>	<u>7</u>
D. Dismissed or Withdrawn	<u>144</u>	<u>152</u>
E. Dismissed through Mediation	<u>0</u>	<u>0</u>
F. Letter of Advisement	<u>71</u>	<u>69</u>
G. Admonition	<u>35</u>	<u>45</u>
H. Admonition-Personal Delivery	<u>8</u>	<u>13</u>
I. Referred to Appellate Division (Disc. Proc.)	<u>206</u>	<u>260</u>
Total Disposed of During Period (same as I.E above.)	<u>1055</u>	<u>1183</u>

**III. CASES PROCESSED IN ALL COURTS:**

A. Cases Pending at Start of Period		<u>56</u>
1. Disciplinary Proceedings	<u>31</u>	
2. Other	<u>25</u>	
Cases Received During Period		<u>189</u>
1. Disciplinary Proceedings	<u>55</u>	
2. Other	<u>134</u>	
B. Total to be Processed During Period		<u>245</u>

**ATTORNEY DISCIPLINARY ACTIVITIES**

C.	Cases Closed	
	1. Disbarred	<u>19</u>
	2. Disciplinary Resignations	<u>0</u>
	3. Suspended***	<u>24</u>
	4. Censured	<u>5</u>
	5. Privately Censured	<u>0</u>
	6. Remanded to Grievance Committee	<u>0</u>
	7. Discontinued	<u>0</u>
	8. Dismissed	<u>7</u>
	9. Reinstatements Granted	<u>9</u>
	10. Reinstatements Denied	<u>2</u>
	11. Non-Disciplinary Resignations	<u>88</u>
	12. All Other Dispositions	<u>55</u>
	13. Total closed	<u>209</u>
E.	Total Cases Pending at End of Period	<u>36</u>
	1. Disciplinary Proceedings	<u>30</u>
	2. Other	<u>6</u>

For the purposes of this report, the term "Matter" includes the following:

1. Complaints
2. Inquiries (Excluding telephone inquiries)
3. Sua Sponte investigations

\* Matters represents individual complaints and inquiries.

\*\* Cases refers to the number of respondent/attorneys. As some attorneys are the subject of multiple complaints, the number of matters may exceed the number of cases.

\*\*\* Includes definite, interim and indefinite suspensions.

**ATTORNEY DISCIPLINARY ACTIVITIES**PERIOD COVERED (ANNUAL REPORT 2019)

THIRD DEPARTMENT

**I. MATTERS PROCESSED: \***

A. Matters Pending at Start of Period	<u>4055</u>	
B. New Matters During Period	<u>1219</u>	
C. Closed Matters Reactivated During Period	<u>0</u>	
D. Total Matters to be Processed During Period (A+B+C)		<u>5274</u>
E. Total Matters Disposed of During Period		<u>4035</u>
F. Matters Pending at End of Period		<u>1239</u>

**II. MATTERS DISPOSED OF BY COMMITTEE:**

	Cases**	Matters
A. Rejected as Failing to State a Complaint	<u>655</u>	<u>753</u>
B. Referred to Other Disciplinary Committees	<u>132</u>	<u>143</u>
C. Referred to Other Agencies	<u>5</u>	<u>5</u>
D. Dismissed or Withdrawn	<u>106</u>	<u>110</u>
E. Dismissed through Mediation	<u>0</u>	<u>0</u>
F. Letter of Advisement	<u>45</u>	<u>47</u>
G. Admonition	<u>44</u>	<u>48</u>
H. Admonition-Personal Delivery	<u>5</u>	<u>7</u>
I. Referred to Appellate Division (Disc. Proc.)	<u>2879</u>	<u>2922</u>

Total Disposed of During Period (same as I.E above.)	<u>3811</u>	<u>4035</u>
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**III. CASES PROCESSED IN ALL COURTS:**

A. Cases Pending at Start of Period		<u>36</u>
1. Disciplinary Proceedings	<u>30</u>	
2. Other	<u>6</u>	
Cases Received During Period		<u>2884</u>
1. Disciplinary Proceedings	<u>2740</u>	
2. Other	<u>144</u>	
B. Total to be Processed During Period		<u>2920</u>

**ATTORNEY DISCIPLINARY ACTIVITIES**

C.	Cases Closed	
	1. Disbarred	<u>17</u>
	2. Disciplinary Resignations	<u>0</u>
	3. Suspended***	<u>2367</u>
	4. Censured	<u>6</u>
	5. Privately Censured	<u>0</u>
	6. Remanded to Grievance Committee	<u>0</u>
	7. Discontinued	<u>0</u>
	8. Dismissed	<u>13</u>
	9. Reinstatements Granted	<u>85</u>
	10. Reinstatements Denied	<u>6</u>
	11. Non-Disciplinary Resignations	<u>90</u>
	12. All Other Dispositions	<u>289</u>
	13. Total closed	<u>2873</u>
E.	Total Cases Pending at End of Period	<u>47</u>
	1. Disciplinary Proceedings	<u>12</u>
	2. Other	<u>25</u>

For the purposes of this report, the term "Matter" includes the following:

1. Complaints
2. Inquiries (Excluding telephone inquiries)
3. Sua Sponte investigations

\* Matters represents individual complaints and inquiries.

\*\* Cases refers to the number of respondent/attorneys. As some attorneys are the subject of multiple complaints, the number of matters may exceed the number of cases.

\*\*\* Includes definite, interim and indefinite suspensions.

# PROFESSIONAL MATTERS CASELOAD REPORT

GRIEVANCE COMMITTEE: Third Judicial Department  
REPORT PERIOD: **REVISED ANNUAL 2020**  
DATE: 07-09-2021

## **I. PROFESSIONAL MATTERS<sup>1</sup> PROCESSED BY THE COMMITTEE:**

A. Matters Pending at Start of Report Period	<u>1044</u>
B. New Matters During Report Period ( <u>see</u> Part II, <u>infra</u> )	<u>4163</u>
C. Closed Matters Reactivated During Report Period <sup>2</sup>	<u>34</u>
D. Total Matters to be Processed During Report Period (A+B+C)	<u>5241</u>
E. Total Matters Disposed of During Report Period ( <u>see</u> Parts III & IV, <u>infra</u> )	<u>2743</u>
F. Matters Pending at End of Report Period (I.D - I.E)	<u>2498</u>

## **II. NEW MATTERS DURING REPORT PERIOD:**

A. Sua Sponte Investigations Authorized by Committee Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (a) (1) <sup>3</sup>	<u>19</u>
B. Cases Referred by the Court for Examination Due to Reversal of a Criminal Conviction on the Ground of Ineffective Assistance of Counsel or Prosecutorial Misconduct	<u>3</u>
C. Matters Arising Under Judiciary Law § 90 (4) (c) and Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.12 (a)	<u>4</u>
D. Matters Arising Under Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.13 (d)	<u>21</u>
E. Matters Arising Under Rules for Attorney Disciplinary Matters	

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<sup>1</sup> The term "Matters" refers to individual files generated by complaint, inquiry or other filing at the Committee level and any one respondent may be the subject of multiple matters simultaneously.

<sup>2</sup> May also include closed matters which have become subject to Court review pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (e) or CPLR art 56.

<sup>3</sup> May include Dishonored Check Reports pursuant to Rules of Appellate Division, All Departments (22 NYCRR) § 1300.1 (g).

(22 NYCRR) § 1240.9 <sup>4</sup>	<u>3</u>
<b>F. Motions for Reinstatement Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) §§ 1240.16, 1240.17 &amp; 1240.22 (b)</b>	<u>34</u>
<b>G. Applications for Leave to Resign Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.22 (a)</b>	<u>76</u>
<b>H. Attorney Solicitation Filings Pursuant to Rules of Professional Conduct (22 NYCRR 1200.0) rule 7.3 (c) (1)</b>	<u>21</u>
<b>I. Disciplinary History Letter Requests and Other Inquiries</b>	<u>1987</u>
<b>J. All Other Complaints Filed or Transferred in Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (a) (1) or (2)</b>	<u>1995</u>
<b>TOTAL NEW MATTERS</b>	<u><u>4163</u></u>

**III. MATTERS DISPOSED OF BY CHIEF ATTORNEY:**

<b>A. Matters Disposed of Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (d) (1) (i)</b>	<u>419</u>
<b>B. Matters Referred to Other Agencies Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (d) (1) (ii)</b>	<u>2</u>
<b>C. Matters Transferred to Another Grievance Committee Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (a) (2)</b>	<u>77</u>
<b>D. Other<sup>5</sup></b>	<u>1989</u>
<b>TOTAL DISPOSED OF BY CHIEF ATTORNEY</b>	<u><u>2487</u></u>

**IV. MATTERS DISPOSED OF BY COMMITTEE:**

**A. Matters Disposed of Pursuant to Rules for Attorney Disciplinary**

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<sup>4</sup> Refers to a new Matter arising from an existing file where the respondent has failed to cooperate with the Committee investigation (§ 1240.9 [a] [1], [3]), or where the investigation has given rise to an admission by the respondent under oath (§ 1240.9 [a] [2]), or revealed that the respondent has willfully failed to paid money to a client (§ 1240.9 [a] [4]) or exposed uncontroverted evidence of the respondent's misconduct (§ 1240.9 [a] [5]).

<sup>5</sup> Includes acknowledgment of Attorney Solicitation Filings pursuant to Rules of Professional Conduct (22 NYCRR 1200.0) rule 7.3 (c) (1), the provision of disciplinary history letters upon request and other closed inquiries.

Matters (22 NYCRR) § 1240.7 (d) (2) (i) or (ii)	<u>75</u>
<b>B. Issuance of a Letter of Advisement Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (d) (2) (iv)</b>	<u>28</u>
<b>C. Issuance of an Admonition Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (d) (2) (v)</b>	<u>28</u>
<b>D. Matters Referred to the Appellate Division for Action</b>	
<b>1. Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.8</b>	<u>12</u>
<b>2. Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.9 (see Part II.E, supra)</b>	<u>0</u>
<b>3. Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.10 (for Matters under investigation)</b>	<u>0</u>
<b>4. Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.11</b>	<u>0</u>
<b>5. Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.12</b>	<u>0</u>
<b>6. Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.13</b>	<u>0</u>
<b>7. Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.14</b>	<u>0</u>
<b>TOTAL REFERRED TO APPELLATE DIVISION</b>	<u>12</u>
<b>E. Responsive Filings</b>	
<b>1. On Motions for Reinstatement Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) §§ 1240.16, 1240.17 and 1240.22 (b) (see Part II.F, supra)</b>	<u>32</u>
<b>2. On Applications for Leave to Resign Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.22 (a) (see Part II.G, supra)</b>	<u>81</u>

3. On Motions for Court Review Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (e) (1) (ii) and (2) (see Part I.C and associated footnote, supra) 0

**TOTAL RESPONSIVE FILINGS** 113

**TOTAL DISPOSED OF BY COMMITTEE** 256

**V. CASES<sup>6</sup> PROCESSED BY THE APPELLATE DIVISION:**

- A. Cases Pending at Start of Report Period 182  
 B. Cases Initiated During Report Period<sup>7</sup> 122  
 C. Cases Disposed of During Report Period (see Part VI, infra) 222  
 D. Cases Pending at End of Report Period (A + B - C) 82

**VI. CASES DISPOSED OF BY THE APPELLATE DIVISION:**

- A. Disbarments, including disciplinary resignation 17  
 B. Suspensions (Including Pursuant to Rules for Attorney Disciplinary Matters [22 NYCRR] §§ 1240.9 and 1240.14) 45  
 C. Censures 8  
 D. Dismissed 4  
 E. Reinstatements Granted 55  
 F. Reinstatements Denied 4  
 G. Nondisciplinary Resignations Granted 56  
 H. Nondisciplinary Resignations Denied 5

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<sup>6</sup> The term "Cases" refers to filings made at the Appellate Division level, is respondent-specific, and any such Case may encompass what was previously multiple Matters at the Committee level.

<sup>7</sup> Includes Cases referred to the Appellate Division (see Part IV.D, supra), motions for reinstatement pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) §§ 1240.16, 1240.17 and 1240.22 (b) (see Part II.F, supra), applications for leave to resign pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.22 (a) (see Part II.G, supra), and motions for Court review pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (e) (1) (ii) and (2) (see Parts I.C and IV.E.3).



I. Other	28
<b>TOTAL DISPOSED OF BY THE APPELLATE DIVISION</b>	<b><u>222</u></b>

**VII. PRACTICE AREA STATISTICS:**

**A. CRIMINAL LAW**

1. New Matters During Report Period ( <u>see Part II, supra</u> )	
Pertaining to Prosecutors	34
Pertaining to Defense Counsel	102
2. Matters Disposed of Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (d) (1) or (2) (i), (ii) or (iv)	
Pertaining to Prosecutors	18
Pertaining to Defense Counsel	86
3. Matters Disposed of Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (d) (2) (v) and Cases Disposed of by the Appellate Division ( <u>see Part VI.A-VI.C, supra</u> )	
Pertaining to Prosecutors	0
Pertaining to Defense Counsel	0

**B. IMMIGRATION LAW**

1. New Matters During Report Period ( <u>see Part II, supra</u> )	24
2. Matters Disposed of Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (d) (1) or (2) (i), (ii) or (iv)	15
3. Matters Disposed of Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (d) (2) (v) and Cases Disposed of by the Appellate Division ( <u>see Part VI.A-VI.C, supra</u> )	0

**C. FAMILY & MATRIMONIAL LAW**

1. New Matters During Report Period ( <u>see Part II, supra</u> )	80
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2. Matters Disposed of Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (d) (1) or (2) (i), (ii) or (iv)	56
3. Matters Disposed of Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (d) (2) (v) and Cases Disposed of by the Appellate Division (see Part VI.A-VI.C, <u>supra</u> )	0

**D. REAL PROPERTY**

1. New Matters During Report Period (see Part II, <u>supra</u> )	21
2. Matters Disposed of Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (d) (1) or (2) (i), (ii) or (iv)	21
3. Matters Disposed of Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (d) (2) (v) and Cases Disposed of by the Appellate Division (see Part VI.A-VI.C, <u>supra</u> )	0

**E. TRUSTS & ESTATES**

1. New Matters During Report Period (see Part II, <u>supra</u> )	20
2. Matters Disposed of Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (d) (1) or (2) (i), (ii) or (iv)	16
3. Matters Disposed of Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (d) (2) (v) and Cases Disposed of by the Appellate Division (see Part VI.A-VI.C, <u>supra</u> )	2

**F. COMMERCIAL LITIGATION**

1. New Matters During Report Period (see Part II, <u>supra</u> )	3
2. Matters Disposed of Pursuant to Rules for Attorney Disciplinary Matters (22 NYCRR) § 1240.7 (d) (1) or	

(2) (i), (ii) or (iv) \_\_\_\_\_1

3. Matters Disposed of Pursuant to Rules for Attorney  
Disciplinary Matters (22 NYCRR) § 1240.7 (d) (2) (v) and  
Cases Disposed of by the Appellate Division  
(see Part VI.A-VI.C, supra) \_\_\_\_\_0

**G. OTHER CIVIL LITIGATION**

1. New Matters During Report Period (see Part II, supra) \_\_\_\_\_137

2. Matters Disposed of Pursuant to Rules for Attorney  
Disciplinary Matters (22 NYCRR) § 1240.7 (d) (1) or  
(2) (i), (ii) or (iv) \_\_\_\_\_91

3. Matters Disposed of Pursuant to Rules for Attorney  
Disciplinary Matters (22 NYCRR) § 1240.7 (d) (2) (v) and  
Cases Disposed of by the Appellate Division  
(see Part VI.A-VI.C, supra) \_\_\_\_\_0