

From: Center for Judicial Accountability, Inc. (CJA) <elena@judgewatch.org>
Sent: Tuesday, March 22, 2022 9:30 AM
To: 'FOIL@osc.ny.gov'
Subject: FOIL request: Comptroller DiNapoli's Office of Inspector General, Division of Investigations, Counsel, & Special Counsel for Ethics

TO: Comptroller DiNapoli's Records Access Officer Jane Hall

Reference is made to the [January 2022 organizational chart](#), accessible from the ["About the Comptroller's Office" webpage](#).

Although the chart lists Deputy Comptroller Donnal Hinds as Comptroller DiNapoli's Inspector General, the Comptroller's essentially hidden [Inspector General webpage](#) does not identify Deputy Comptroller Hinds' name – or anyone else's. Moreover, from the Inspector General webpage it appears that the Office of Inspector General is part of – or another name for – Comptroller DiNapoli's Division of Investigations. No Division of Investigations is indicated by the organizational chart, but, instead, an entity called "Investigations" that is under the supervision of Comptroller DiNapoli's Counsel – currently Nelson Sheingold – unconnected to the Comptroller's Inspector General.

The situation is TOTALLY confusing – and, in an effort to gain some clarity, I called the indicated phone number on the Inspector General webpage – 888-672-4555 – to "speak to trained Division of Investigations staff". My call was at 11:17 am yesterday morning – and answered by a recording on which I left a voice message. I received no return call.

Pursuant to FOIL (Public Officers Law Article VI), this is to request records reflecting:

- (1) the names of Comptroller DiNapoli's Inspectors General since the office was established by his February 13, 2008 [Executive Order](#), posted on the Inspector General webpage – and the dates of their tenure;
- (2) the reason why Comptroller DiNapoli "Reaffirmed" his February 13, 2008 Executive Order on December 13, 2017 – and what changes, if any, were made to his original February 13, 2008 Executive Order at that time or previously;
- (3) when the "Miscellaneous Provisions" of the ["Guidelines" drop-down on the Inspector General webpage](#) were added – and, in particular, #3, stating: "The activities of the Office of the Inspector General shall be included in the scope of the triennial audit of internal control required by the New York State Governmental Accountability, Audit and Internal Control Act";
- (4) whether this Miscellaneous Provision #3 was a response to the "Summary of Findings" appearing at Appendix B of the Comptroller's ["Internal Control Report" for January 1, 2007 – March 1, 2007](#), identifying (at p. 29) that Comptroller DiNapoli had "taken several steps to strengthen internal controls of OSC", including "Appointment of an Inspector General to monitor and review the activities of the Comptroller and all OSC employees";

- (5) whether, if Comptroller DiNapoli's Division of Investigations is separate from his Office of Inspector General, the names of the Division's chiefs and deputy chiefs from 2012 to the present;
- (6) the names of Comptroller DiNapoli's Counsel and the dates of their tenure, from the February 7, 2007 date Comptroller DiNapoli took office;
- (7) the names of Comptroller DiNapoli's "Special Counsel for Ethics", referred to in his February 13, 2008 Executive Order and whose appointment is identified in the "Summary of Findings" appearing in Appendix B of the Comptroller's ["Internal Control Report" for January 1, 2007 – March 1, 2007](#) (at pp. 29-30) as among the "several steps [Comptroller DiNapoli had taken] to strengthen internal controls of OSC" – and the dates of their tenure.

Thank you.

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