

**APPELLATE AUXILIARY OPERATIONS  
ATTORNEY DISCIPLINE PROGRAM**

**2013-14 Budget Request: \$14,809,235**

**Program Description**

This Program provides funding to support the Attorney Grievance Committees and disciplinary proceedings.

*Attorney Grievance Committees:* Lawyers who are guilty of professional misconduct are subject to discipline for their actions. Under section 90 of the Judiciary Law, it is the responsibility of the Appellate Divisions to oversee the disciplinary process and, where warranted, to impose appropriate sanctions (e.g., censure, suspension from practice, disbarment). In discharge of these responsibilities, the Appellate Divisions have established Attorney Grievance Committees within their respective Departments to investigate allegations of attorney misconduct, privately discipline attorneys in minor matters and, in serious cases of misconduct, prosecute offenders before the Appellate Division.

**Summary of 2013-14 Funding Request:**

**Attorney Discipline**

**2013-14 Budget Request: \$14,809,235**

<i>Personal Service:</i>	13,011,482
<i>Nonpersonal Service:</i>	1,797,753
<i>Maintenance Undistributed:</i>	0

The Attorney Discipline Special Revenue Fund budget request is \$14.8 million or a decrease of \$245,869 (-1.6%) from the current year adjusted appropriation.

The personal service request of \$13 million represents a decrease of \$199,008 (-1.5%) from the current year adjusted appropriation. This amount includes funding for filled nonjudicial positions and includes the payment of increments, longevity bonuses and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is offset by attrition savings.

The personal service request also includes \$297,794 for temporary service to support attorneys and staff in the Second and Fourth Departments.

The nonpersonal service funding supports usual and necessary expenses associated with the operation and administration of the Attorney Discipline program including: real estate rentals for office space; transcript costs and forensic evaluations required for disciplinary hearings; legal reference materials and online services, supplies and materials.

The nonpersonal service request of \$1.8 million reflects a decrease of \$46,861 (-2.5%) from current year funding. The decrease is attributable to the continuation of cost saving measures in supplies, legal reference and online materials, travel, postage and subscriptions. Expenditure-based reductions in information technology services and transcripts also contribute to the overall reduction. The reduction is partially offset by escalation costs for real estate rentals and expenditure-based growth in other professional services.

**Appellate Auxiliary Operations**  
 Budget Summary - Miscellaneous Special Revenue Fund  
 Attorney Discipline Program

	<b>2013-14 Request</b>		
	<b>Personal Service</b>	<b>Nonpersonal Service</b>	<b>Total</b>
<b>Attorney Discipline</b>			
First Department	\$4,352,105	\$300,048	\$4,652,153
Second Department	\$5,938,464	\$946,765	\$6,885,229
Third Department	\$1,248,383	\$211,740	\$1,460,123
Fourth Department	\$1,704,854	\$339,200	\$2,044,054
Undistributed	(\$232,324)	\$0	(\$232,324)
<b>Total:</b>	<b>\$13,011,482</b>	<b>\$1,797,753</b>	<b>\$14,809,235</b>

State of New York - Judiciary  
Budget Summary for Fiscal Year 2013-2014

ATTORNEY DISCIPLINE  
MISCELLANEOUS SPECIAL REVENUE  
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	12,803,733	12,713,588	(90,145)
PS Temporary	406,657	297,794	(108,863)
PS Overtime	100	100	0
Total Personal Service	<u>13,210,490</u>	<u>13,011,482</u>	<u>(199,008)</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	120,858	105,458	(15,400)
Supplies and Materials	154,185	144,085	(10,100)
Travel	81,287	76,287	(5,000)
Equipment Rental and Repairs	117,894	112,592	(5,302)
Real Estate Rentals	851,239	862,511	11,272
Conferences and Training	11,400	13,300	1,900
Postage and Printing	90,761	86,180	(4,581)
Telecommunications	43,200	34,500	(8,700)
Information Technology Services	24,600	14,100	(10,500)
Records Management Services	40,250	35,150	(5,100)
Other Professional Services	114,140	129,340	15,200
Assigned Counsel	500	0	(500)
In-Part Services	300	250	(50)
Judicial Hearing Officers	13,000	13,000	0
Transcripts	181,000	171,000	(10,000)
Total Nonpersonal Service	<u>1,844,614</u>	<u>1,797,753</u>	<u>(46,861)</u>
<b>Grand Total</b>	<b>15,055,104</b>	<b>14,809,235</b>	<b>(245,869)</b>