

**APPELLATE AUXILIARY OPERATIONS  
ATTORNEY DISCIPLINE PROGRAM**

**2013-14 Budget Request: \$14,809,235**

**Program Description**

This Program provides funding to support the Attorney Grievance Committees and disciplinary proceedings.

*Attorney Grievance Committees:* Lawyers who are guilty of professional misconduct are subject to discipline for their actions. Under section 90 of the Judiciary Law, it is the responsibility of the Appellate Divisions to oversee the disciplinary process and, where warranted, to impose appropriate sanctions (e.g., censure, suspension from practice, disbarment). In discharge of these responsibilities, the Appellate Divisions have established Attorney Grievance Committees within their respective Departments to investigate allegations of attorney misconduct, privately discipline attorneys in minor matters and, in serious cases of misconduct, prosecute offenders before the Appellate Division.

**Summary of 2013-14 Funding Request:**

**Attorney Discipline**

**2013-14 Budget Request: \$14,809,235**

<i>Personal Service:</i>	13,011,482
<i>Nonpersonal Service:</i>	1,797,753
<i>Maintenance Undistributed:</i>	0

The Attorney Discipline Special Revenue Fund budget request is \$14.8 million or a decrease of \$245,869 (-1.6%) from the current year adjusted appropriation.

The personal service request of \$13 million represents a decrease of \$199,008 (-1.5%) from the current year adjusted appropriation. This amount includes funding for filled nonjudicial positions and includes the payment of increments, longevity bonuses and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is offset by attrition savings.

The personal service request also includes \$297,794 for temporary service to support attorneys and staff in the Second and Fourth Departments.

The nonpersonal service funding supports usual and necessary expenses associated with the operation and administration of the Attorney Discipline program including: real estate rentals for office space; transcript costs and forensic evaluations required for disciplinary hearings; legal reference materials and online services, supplies and materials.

The nonpersonal service request of \$1.8 million reflects a decrease of \$46,861 (-2.5%) from current year funding. The decrease is attributable to the continuation of cost saving measures in supplies, legal reference and online materials, travel, postage and subscriptions. Expenditure-based reductions in information technology services and transcripts also contribute to the overall reduction. The reduction is partially offset by escalation costs for real estate rentals and expenditure-based growth in other professional services.

**APPELLATE AUXILIARY OPERATIONS  
ATTORNEY DISCIPLINE PROGRAM**

**2014-15 Budget Request: \$14,461,352**

**Program Description**

This Program provides funding to support the Attorney Grievance Committees and disciplinary proceedings.

*Attorney Grievance Committees:* Lawyers who are guilty of professional misconduct are subject to discipline for their actions. Under section 90 of the Judiciary Law, it is the responsibility of the Appellate Divisions to oversee the disciplinary process and, where warranted, to impose appropriate sanctions (e.g., censure, suspension from practice, disbarment). In discharge of these responsibilities, the Appellate Divisions have established Attorney Grievance Committees within their respective Departments to investigate allegations of attorney misconduct, privately discipline attorneys in minor matters and, in serious cases of misconduct, prosecute offenders before the Appellate Division.

**Summary of 2014-15 Funding Request:**

**Attorney Discipline**

**2014-15 Budget Request: \$14,461,352**

*Personal Service: 12,755,841*

*Nonpersonal Service: 1,705,511*

*Maintenance Undistributed:*

The Attorney Discipline Special Revenue Fund budget request is \$14.5 million or a decrease of \$93,430 (-0.6%) from the current year adjusted appropriation.

The personal service request of \$12.8 million represents a decrease of \$19,688 (-0.2%) from the current year adjusted appropriation. This amount includes funding for filled nonjudicial positions and includes the payment of increments, longevity bonuses and location pay, as required by law, for all eligible employees. The net increase in these funding requirements is offset by savings associated with turnover and nonjudicial employment leaves as well as staffing adjustments to meet operational needs.

The personal service request also includes \$297,794 for temporary service to support attorneys and staff in the Second and Fourth Departments.

The nonpersonal service funding supports usual and necessary expenses associated with the operation and administration of the Attorney Discipline program including: real estate rentals for office space; transcript costs and forensic evaluations required for disciplinary hearings; legal reference materials and online services; and supplies and materials.

The nonpersonal service request of \$1.7 million reflects a decrease of \$73,742 (-4.1%) from current year funding. The decrease is attributable to reduced real estate lease costs and expenditure-based reductions in supplies and materials, travel, other general services and transcripts.

**Appellate Auxiliary Operations**  
**Budget Summary - Miscellaneous Special Revenue Fund**

**Attorney Discipline Program**

**2014-15 Request**

<b>Attorney Discipline</b>	<b>Personal Service</b>	<b>Nonpersonal Service</b>	<b>Total</b>
1st Department	\$4,031,792	\$279,900	\$4,311,692
2nd Department	\$6,025,695	\$888,400	\$6,914,095
3rd Department	\$974,135	\$193,868	\$1,168,003
4th Department	\$1,724,219	\$343,343	\$2,067,562
<b>Total:</b>	<b>\$12,755,841</b>	<b>\$1,705,511</b>	<b>\$14,461,352</b>