

**APPELLATE AUXILIARY OPERATIONS**  
**Attorney Discipline Program**

<b>2018-19 Budget Request:</b>	<b>\$15,514,625</b>
Personal Service:	13,875,329
Nonpersonal Service:	1,639,296
Maintenance Undistributed:	0

**Program Description**

This Program provides funding to support the Attorney Grievance Committees and attorney disciplinary proceedings.

*Attorney Grievance Committees:* Lawyers who are guilty of professional misconduct are subject to discipline for their actions. Under section 90 of the Judiciary Law, it is the responsibility of the Appellate Divisions to oversee the disciplinary process and, where warranted, to impose appropriate sanctions (*e.g.*, censure, suspension from practice, disbarment). In discharge of these responsibilities, the Appellate Divisions have established Attorney Grievance Committees within their respective Departments to investigate allegations of attorney misconduct, privately discipline attorneys in minor matters and, in serious cases of misconduct, prosecute offenders before the Appellate Division.

**Summary of 2018-19 Funding Request**

The Attorney Discipline Special Revenue Fund budget request is \$15.5 million or an increase of \$0.4 million (2.9%) over the current year adjusted appropriation.

The personal service request of \$13.9 million represents an increase of \$0.4 million (3%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses and location pay, as required by law, for all eligible nonjudicial employees.

The nonpersonal service funding supports usual and necessary expenses associated with the operation and administration of the Attorney Discipline Program including: real estate rentals for office space; transcript costs and other professional services (forensic evaluations) required for disciplinary hearings; legal reference materials and online services and supplies and materials.

The nonpersonal service request of \$1.6 million reflects an increase of \$38,397 (2.4%) over current year funding. The increase is attributable to expanded use of judicial hearing officers and transcript costs related to the implementation of uniform statewide rules on the attorney discipline process. Also noted are cost-based increases in real estate leases, postage and equipment rentals. The overall increase is offset by expenditure-based decreases in office supplies, travel and *per diem* interpreters.

**Appellate Auxiliary Operations  
Budget Summary - Miscellaneous Special Revenue Fund**

**Attorney Discipline Program**

<u>Attorney Discipline</u>	<u>Personal Service</u>	<u>Nonpersonal Service</u>	<u>Total</u>
1st Department	\$4,325,922	\$262,690	\$4,588,612
2nd Department	6,040,747	831,249	6,871,996
3rd Department	1,344,205	223,420	1,567,625
4th Department	2,164,455	321,937	2,486,392
<b>Total:</b>	<b><u>\$13,875,329</u></b>	<b><u>\$1,639,296</u></b>	<b><u>\$15,514,625</u></b>

State of New York - Judiciary  
Budget Summary for Fiscal Year 2018-2019

ATTORNEY DISCIPLINE  
MISCELLANEOUS SPECIAL REVENUE  
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	13,091,052	13,875,329	784,277
PS Temporary	386,123	0	(386,123)
Total Personal Service	<u>13,477,175</u>	<u>13,875,329</u>	<u>398,154</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	106,259	102,352	(3,907)
Supplies and Materials	109,638	100,789	(8,849)
Travel	56,300	54,500	(1,800)
Equipment Rental and Repairs	87,519	89,939	2,420
Real Estate Rentals	819,933	840,766	20,833
Conferences and Training	8,400	8,300	(100)
Postage and Printing	65,700	70,400	4,700
Telecommunications	17,800	16,800	(1,000)
Information Technology Services	4,300	4,300	0
Records Management Services	41,050	41,050	0
Other Professional Services	111,000	111,100	100
In-Part Services	2,000	0	(2,000)
Judicial Hearing Officers	13,000	21,000	8,000
Transcripts	158,000	178,000	20,000
Total Nonpersonal Service	<u>1,600,899</u>	<u>1,639,296</u>	<u>38,397</u>
<b>Grand Total</b>	<b>15,078,074</b>	<b>15,514,625</b>	<b>436,551</b>