

**APPELLATE AUXILIARY OPERATIONS**  
**Attorney Discipline Program**

<b>2019-20 Budget Request:</b> \$15,435,741	
Personal Service:	13,798,785
Nonpersonal Service:	1,636,956
Maintenance Undistributed:	0

**Program Description**

This Program provides funding to support the Attorney Grievance Committees and attorney disciplinary proceedings.

*Attorney Grievance Committees:* Lawyers who are guilty of professional misconduct are subject to discipline for their actions. Under section 90 of the Judiciary Law, it is the responsibility of the Appellate Divisions to oversee the disciplinary process and, where warranted, to impose appropriate sanctions (*e.g.*, censure, suspension from practice, disbarment). In discharge of these responsibilities, the Appellate Divisions have established Attorney Grievance Committees within their respective Departments to investigate allegations of attorney misconduct, privately discipline attorneys in minor matters and, in serious cases of misconduct, prosecute offenders before the Appellate Division.

**Summary of 2019-20 Funding Request**

The Attorney Discipline Special Revenue Fund budget request is \$15.4 million or a decrease of \$78,884 (-0.5%) from the current year adjusted appropriation.

The personal service request of \$13.8 million represents a slight decrease of \$76,544 (-0.6%) from the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases, as well as the payment of increments, longevity bonuses, and location pay, as required by law, for all eligible nonjudicial employees. The net increase in these funding requirements is offset by savings associated with turnover.

The nonpersonal service funding supports usual and necessary expenses associated with the operation and administration of the Attorney Discipline Program including: real estate rentals for office space; transcript costs and other professional services (forensic evaluations) required for disciplinary hearings; legal reference materials and online services and supplies and materials.

The nonpersonal service request of \$1.6 million reflects a slight decrease of \$2,340 (-0.1%) from current year funding. The decrease is primarily attributable to expenditure-based reductions in travel, miscellaneous supplies and materials, and transcripts. These decreases are partially offset by increases in real estate rentals due to inflation, and other court-appointed services where there is an expanded use of hearing officers as well as a rate increase effective May 2018.

**Appellate Auxiliary Operations  
Budget Summary - Miscellaneous Special Revenue Fund**

**Attorney Discipline Program**

<b>Attorney Discipline</b>	<b>Personal Service</b>	<b>Nonpersonal Service</b>	<b>Total</b>
1st Department	\$4,579,757	\$260,290	\$4,840,047
2nd Department	5,766,002	837,833	6,603,835
3rd Department	1,444,376	222,400	1,666,776
4th Department	2,008,650	316,433	2,325,083
<b>Total:</b>	<b><u>\$13,798,785</u></b>	<b><u>\$1,636,956</u></b>	<b><u>\$15,435,741</u></b>

State of New York - Judiciary  
Budget Summary for Fiscal Year 2019-2020

ATTORNEY DISCIPLINE  
MISCELLANEOUS SPECIAL REVENUE  
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	13,875,329	13,798,785	(76,544)
Total Personal Service	13,875,329	13,798,785	(76,544)
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	102,352	98,210	(4,142)
Supplies and Materials	100,789	97,956	(2,833)
Travel	54,500	52,300	(2,200)
Equipment Rental and Repairs	89,939	89,419	(520)
Real Estate Rentals	840,766	856,721	15,955
Conferences and Training	8,300	8,300	0
Postage and Printing	70,400	72,100	1,700
Telecommunications	16,800	15,300	(1,500)
Information Technology Services	4,300	3,000	(1,300)
Records Management Services	41,050	38,150	(2,900)
Other Professional Services	132,100	149,500	17,400
Transcripts	178,000	156,000	(22,000)
Total Nonpersonal Service	1,639,296	1,636,956	(2,340)
 <b>Grand Total</b>	 <b>15,514,625</b>	 <b>15,435,741</b>	 <b>(78,884)</b>