

APPELLATE AUXILIARY OPERATIONS Attorney Discipline Program	2020-21 Budget Request:	\$15,984,416
	Personal Service:	14,301,558
	Nonpersonal Service:	1,682,858
	Maintenance Undistributed:	0

Program Description

This Program provides funding to support the Attorney Grievance Committees and attorney disciplinary proceedings.

Attorney Grievance Committees: Lawyers who are guilty of professional misconduct are subject to discipline for their actions. Under section 90 of the Judiciary Law, it is the responsibility of the Appellate Divisions to oversee the disciplinary process and, where warranted, to impose appropriate sanctions (e.g., censure, suspension from practice, disbarment). In discharge of these responsibilities, the Appellate Divisions have established Attorney Grievance Committees within their respective Departments to investigate allegations of attorney misconduct, privately discipline attorneys in minor matters and, in serious cases of misconduct, prosecute offenders before the Appellate Division.

Summary of 2020-21 Funding Request

The Attorney Discipline Special Revenue Fund budget request is \$16 million or an increase of \$0.5 million (3.5%) over the current year adjusted appropriation.

The personal service request of \$14.3 million represents an increase of \$0.5 million (3.6%) over the current year adjusted appropriation. This includes funding for all filled nonjudicial positions. Funding is also included for collectively negotiated salary increases as well as the payment of increments, longevity bonuses, and location pay, as required by law, for all eligible nonjudicial employees.

The nonpersonal service funding supports usual and necessary expenses associated with the operation and administration of the Attorney Discipline Program including: real estate rentals for office space; transcript costs and other professional services (forensic evaluations) required for disciplinary hearings; legal reference materials and online services, and supplies and materials.

The nonpersonal service request of \$1.7 million reflects a slight increase of \$40,902 (2.5%) over current year funding. The increase is primarily attributable to expenditure-based adjustments in travel, transcripts, and other court-appointed services, where there has been an expanded use of hearing officers. Increased funding for real estate rentals due to escalation of costs in the Second Department is also noted. These increases are partially offset by expenditure-based reductions in office supplies, legal reference and subscriptions, and records management.

**Appellate Auxiliary Operations
Budget Summary - Miscellaneous Special Revenue Fund**

Attorney Discipline Program

<u>Attorney Discipline</u>	<u>Personal Service</u>	<u>Nonpersonal Service</u>	<u>Total</u>
1st Department	\$4,422,723	\$261,900	\$4,684,623
2nd Department	6,432,224	883,768	7,315,992
3rd Department	1,515,458	220,400	1,735,858
4th Department	1,931,153	316,790	2,247,943
Total:	<u>\$14,301,558</u>	<u>\$1,682,858</u>	<u>\$15,984,416</u>

State of New York - Judiciary
Budget Summary for Fiscal Year 2020-2021

ATTORNEY DISCIPLINE
MISCELLANEOUS SPECIAL REVENUE
FUND

	<u>Current Appropriation as Adjusted</u>	<u>UCS Recommended</u>	<u>Changes to Current Appropriation</u>
<u>Personal Service</u>			
PS Regular	13,798,785	14,301,558	502,773
Total Personal Service	<u>13,798,785</u>	<u>14,301,558</u>	<u>502,773</u>
<u>Nonpersonal Service</u>			
Legal Reference/CALR/Subscriptions	98,210	95,110	(3,100)
Supplies and Materials	97,956	95,364	(2,592)
Travel	52,300	55,445	3,145
Equipment Rental and Repairs	89,419	91,483	2,064
Real Estate Rentals	856,721	870,679	13,958
Conferences and Training	8,300	8,300	0
Postage and Printing	72,100	76,800	4,700
Telecommunications	15,300	15,100	(200)
Information Technology Services	3,000	3,500	500
Records Management Services	38,150	23,250	(14,900)
Other Professional Services	149,500	182,127	32,627
In-Part Services	0	200	200
Transcripts	161,000	165,500	4,500
Total Nonpersonal Service	<u>1,641,956</u>	<u>1,682,858</u>	<u>40,902</u>
 Grand Total	 15,440,741	 15,984,416	 543,675