



THE ASSEMBLY
STATE OF NEW YORK
ALBANY

CARL E. HEASTIE
Speaker

Room 932
Legislative Office Building
Albany, New York 12248
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June 9, 2015

Honorable Andrew M. Cuomo
Governor of the State of New York
Executive Chamber
State Capitol
Albany, New York 12224

Dear Governor Cuomo:

Please be advised that pursuant to Section 2 of Part E of Chapter 60 of the Laws of 2015, I am hereby appointing Dr. Roman Hedges, of Delmar, New York, to the Commission on Legislative, Judicial and Executive Compensation.

A copy of Dr. Hedges's resume is enclosed.

Sincerely,

A handwritten signature in cursive script that reads "Carl E. Heastie".

CARL E. HEASTIE
SPEAKER

CEH:bh
Enclosure

RESUME

Roman B. Hedges

June 2015



EMPLOYMENT

Professor in Residence, Committee on Ways and Means, New York State Assembly, January 2007 to 2013.

Direct the program for Assembly graduate scholars. Teach weekly seminar and direct student written projects related to their placement in Assembly offices. Also address special projects for the Speaker of the Assembly including MTA financing and redistricting, as directed.

Board Member, New York State Dormitory Authority, February 2003 to present.

The Dormitory Authority is a public benefit corporation serving the citizens of New York State through construction and financing programs. It was created in 1944 by legislation authorizing the Authority to finance and construct dormitories for State teachers' colleges (hence the name).

The purpose of the Authority is to finance and build facilities for higher education, health care providers, court facilities and certain nonprofit institutions and public agencies. The Authority issues tax-exempt securities, then lends the proceeds to clients to finance the construction, rehabilitation, or equipping of facilities needed to furnish services to New Yorkers.

The Authority issued \$3.8 billion in bonds and supervised over \$5.9 billion in construction contracts in the 2013-14 fiscal year with an annual budget of approximately \$100 million.

Deputy Secretary, Committee on Ways and Means, New York State Assembly, January 1993-December 2006.

Manage legislative operations of the Committee on Ways and Means under the direction of the Secretary of the Committee. The Committee serves as the Committee of original jurisdiction on all proposed State budget legislation. It also has jurisdiction over tax and state and local finance legislation. The Committee has joint jurisdiction on proposed legislation affecting state and local finance in New York.

Supervise the 60 person majority staff of the Committee, including the Directors of Budget Studies, Fiscal Studies, Research, and Information Systems. Responsible for Committee publications and legislative operations. Report to the Secretary of the Committee.

The staff is charged with providing analyses of the (then) \$130 billion New York State Budget proposal submitted by the Governor and the 1,600 bills affecting state and local finance considered by the Committee on an annual basis. The staff analyzes national and state economic conditions, state and local governmental receipts, and state and local governmental disbursements, financing, and investments. The staff is responsible for research and analyzes of government operations, conducting public hearings, preparing Committee publications, meeting with the public, and drafting legislation.

Prepare and present policy options for the Assembly leadership and Committee and Assembly members regarding the state budget, and state and local government finance. Negotiate on behalf of the Assembly the state budget and other legislation with counterparts from the Governor's office, the Division of the Budget, the Executive agencies, and the Senate.

Work closely with the Assembly leadership in the development of Assembly policy. Work with Members of the Assembly and represent the Assembly in a variety of public settings. Serve as staff to the Assembly Rules Committee. Serve as Committee liaison with other Assembly Committees and Committee Chairs, Legislative Commissions, and Members. Represent the Assembly and the Committee to the public and interested groups.

Director of Fiscal Studies, Committee on Ways and Means, New York State Assembly, December 1989-January 1993.

Manage fiscal studies for the Committee on Ways and Means under the direction of the Deputy Secretary of the Committee. The Fiscal Studies staff is responsible for analyzing legislative proposals effecting state and local finance in New York, including all legislation affecting state and local revenues and debt.

Supervise the 15 person fiscal staff and oversee the Legislative Commission on State and Local Relations and the Legislative Tax Study Commission. The fiscal staff provides analyses of the national and state economies, state receipts, and state and local taxes and debt. The fiscal staff does research and analysis of legislation effecting state and local government revenues and state and local government finance and investments for the Committee. The fiscal staff is responsible for the oversight of the public benefit corporations subject to the review of the Public Authorities Control Board. The Legislative Commissions do long term research and analysis for the Assembly in their respective policy areas.

Develop and present revenue, tax policy, and financing options to the Assembly leadership and Committee and Assembly members. Negotiate with counterparts in the Executive and

the Senate regarding the Assembly Majority's revenue proposals through the budget and legislative process under the direction of the Deputy Secretary of the Committee.

Associate Professor of Political Science and Public Policy (with tenure), Graduate School of Public Affairs, Rockefeller College of Public Affairs and Policy, State University of New York at Albany, 1984-1989, (Assistant Professor of Political Science, 1977-1984, on leave 1981-1982, 1986-1988. Instructor 1974-1977).

Taught graduate and undergraduate courses in research methodology and statistics, public policy, quantitative reasoning, American government, New York State government and politics, political behavior, political socialization, political parties, public opinion, and legislative redistricting. Conducted a research program in government, politics, and policy, which resulted in numerous scholarly publications, professional papers, reports, reviews, and participation in professional conferences.

Served on departmental, school, and college curriculum, dissertation, personnel, grievance, and recruitment committees, university undergraduate and graduate program review, computer and library advisory committees, and the University Senate.

Member, New York State Legislative Task Force on Demographic Research and Reapportionment, 1991 to 2015.

The Task Force is responsible for making recommendations and proposals to the New York State Legislature for redistricting legislative and Congressional districts following the decennial Census. As a Task Force member, constructed and implemented a strategic plan for redistricting the New York legislative and congressional districts in 1991-92, 2001-02, and 2011-12.

Participated in extensive public hearings held throughout the state; met with community groups, elected officials, and individuals; and prepared districting plans and legislation with respect to legislative and congressional districts. Pursuant to the requirements of the Voting Rights Act, prepared and presented analysis of enacted legislative districts to the Civil Rights Division of the United States Department of Justice. Prepared and presented analysis and testimony in conjunction with Federal and state court litigation concerning proposed and enacted state legislative and congressional districts.

Also prepared modifications to six congressional districts in 1997 in response to Federal court decisions and prepared analyses of those modifications to the Civil Rights Division of the Department of Justice.

Senior Research Associate, Committee on Ways and Means, New York State Assembly, January 1987-January 1989, part-time January 1989-December 1989.

Responsible for the development and management of the information and office automation systems of the Committee. Project manager for the selection and installation of information system hardware and software. Directed Committee administrative, budget preparation, and fiscal information software development and implementation. Project manager for database development, management, and operations using "in house" programming and systems staff and software consultants.

Ongoing responsibility for selected issues in revenue estimation and tax policy analysis for the Committee. Participated in the research, policy analysis, and drafting of tax legislation.

Directed study of the administration of the New York State Assembly operations, human resources, procurement, information systems, accounting and budgeting, and internal controls using "in house" staff and outside consultants. Recommended and assisted in the implementation of substantial changes in the organization of the Assembly administrative structure including the creation of a single office of administration under the direction of the (then) new office of Secretary to the Speaker for Administration.

Research Associate, New York State Legislative Commission on the Modernization and Simplification of Tax Administration and the Tax Law, January 1986-December 1986.

Conducted research on state imposed taxation in the telecommunications industry and assisted in the preparation of plans to respond to the effects of federal income tax system changes on New York.

Computer Analyst/Programmer, New York State Assembly, 1981-1982.

Advisor and analyst for the Speaker on reapportionment of the Congress and state legislature. Developed and maintained a major database and software system to facilitate policy analysis, participated in policy and legal briefings, and in the drafting of Chapters 111, 113, 114, 115, 323, 448, and 455 of the Laws of 1982. Served as liaison to elected officials.

EDUCATION

Ph.D. in Political Science, University of Rochester, Rochester, New York, 1977.

M.A. in Political Science, University of Rochester, Rochester, New York, 1974.

B.A. in Political Science, cum laude, Knox College, Galesburg, Illinois, 1970.

SCHOLARLY PUBLICATIONS

"Legislative Staff as Institutional Partisans: The Case of Tax Reform in New York," Journal of Management Science and Policy Analysis (Fall 1989).

"The Politics of Reapportionment Within the Context of the Voting Rights Act," Western Political Quarterly (Spring 1987). (Preliminary version presented at the American Political Science Association Meetings, Conference Group on Representation and Electoral Systems, September 1983, Chicago. Co-author with Carl P. Carlucci).

"Viewpoints from Science Industry, and the Public," in Donald Adams and Walter Pages (eds.), Acid Deposition, Environmental, Economic, and Policy Issues (New York: Plenum, 1986). (Preliminary version presented at Acid Deposition: Environmental and Economic Impacts Conference, Plattsburgh, New York, June 1983. Co-author with Donald J. Reeb).

"Acid Rain: Public Policy in the Face of Uncertainty," in Morton Schoolman and Alvin Magid (eds.), The Reindustrialization of New York State: Its Strategies, Implications, and Critics (Albany: State University of New York Press, 1986). (Preliminary version presented at the conference on "Reindustrialization of New York State", May 1983, Albany. Co-author with Donald J. Reeb).

"Reasons for Political Involvement: A Study of Contributors to the 1972 Presidential Campaign," Western Political Quarterly (June 1984). (Preliminary version presented at the Midwest Political Science Association Meeting, Chicago, 1979).

"Male and Female Contributors to the 1972 Presidential Election: An Examination of the Effects of Socio-economic and Familial Variables", Western Political Quarterly (1980). (Co-author with Lynda W. Powell and Clifford W. Brown, Jr. Powell was the senior author. Preliminary version presented at the Midwest Political Science Association Meetings, Chicago, 1979).

"Belief Structure in a Political Elite: Contributors to the 1972 Presidential Candidates," Polity (Fall 1980). (Co-author with Clifford W. Brown, Jr. and Lynda W. Powell. Preliminary version presented at the Southern Political Science Association Meetings, Atlanta, 1976).

"Modes of Elite Political Participation: Contributors to the Presidential Candidates," American Journal of Political Science (May 1980). (Co-author with Clifford W. Brown, Jr. and Lynda W. Powell. Preliminary version presented at the American Political Science Association Meetings, Washington, 1977).

"The Similarity of Husbands' and Wives' Political Views," American Politics Quarterly (April 1977). (Co-author with Richard G. Niemi and M. Kent Jennings).

REPORTS AND REVIEWS

"Counting the Money: Receipts Estimation in New York State Government," prepared for the 8th Annual Conference on Public Budgeting and Finance, American Society of Public Administration, Washington, D.C., October 1996.

Review of David C. Hendrickson, Reforming Defense: The State of American Civil-Military Relations (Baltimore: The Johns Hopkins University Press, 1988) in The Annals of the American Academy of Political and Social Science (March 1990).

"Value Judgment," Empire State Reports (February 1989). (Co-author with Donald J. Reeb).

Adirondack Park Zoning: Property Values and Tax Bases, 1963-1983, State Board of Equalization and Assessment (March 1988). (Co-author with Peter A. Wissel and Donald J. Reeb. Prepared under contract with the New York State Division of Equalization and Assessment).

"New York's Adirondack Park: A Study of Land Price Effects from Developmental Restrictions", Rockefeller Institute Working Papers, No. 25 (Fall 1986). (Presented at the World Congress on Land Policy, London, 1986. Co-author with Peter A. Wissel and Donald J. Reeb. Prepared under contract with the New York State Division of Equalization and Assessment).

"Acid Rain: The Public Question," Adirondack (April 1985). (Co-author with Donald J. Reeb).

Review of Steven J. Rosenstone, Roy L. Behr, Edward H. Lazarus, Third Parties in America: Citizen Response to Major Party Failure (Princeton: Princeton University Press, 1984) in The Annals of the American Academy of Political and Social Science (March 1985).

Review of Robert E. Litan and William D. Nordhaus, Reforming Federal Regulation, (New Haven: Yale University Press, 1983) and Paul A. Sabatier and Daniel A. Mazmanian, Can Regulations Work? The Implementation of the 1982 California Coastal Initiative, (New York: Plenum Press, 1983) in The Annals of the American Academy of Political and Social Science (November 1984).

"Acid Rain: The Industry View and Public View" (Co-author with Donald J. Reeb); "Leadership Interviews;" and "Public Perceptions of Acid Deposition: A Survey of New York State Residents" (Co-author with Peter A. Wissel) in The Economic Impact Study of Acid Precipitation, The Center for Financial Management, (June 1983). (Prepared under contract with New York State Department of Environmental Conservation).

"A Standard for Constructing Minority Legislative Districts: The Issue of 'Effective Voter Equality'", Rockefeller Institute Working Papers, No. 6 (Spring 1983). (Co-author with Jeffrey Getis).

Review of James W. Ceaser, Reforming the Reforms: A Critical Analysis of the Presidential Selection Process (Cambridge: Ballinger, 1982) in The Annals of the American Academy of Political and Social Science (July 1983).

"Policy and Mental Health," (Presented at the Northeastern Political Science Association Meetings, Newark, 1981).

Review of Louis K. Bragaw, Managing a Federal Agency: The Hidden Stimulus (Baltimore: Johns Hopkins University Press, 1980) in The Annals of the American Academy of Political and Social Science (July 1981).

Review of Timothy G. O'Rourke, The Impact of Reapportionment, (New Brunswick, N.J.: Transition Books, 1980) in The Annals of the American Academy of Political and Social Science (November 1980).

Developing Science and Technology Staffing for the New York State Legislature (New York State Assembly): A Report to the National Science Foundation (August 1980). (Co-author with Peter A. Wissel and William Karson. Prepared under contract with the Office of Research Development: Research Foundation of the State of New York. NSF Grant No. ISP 78-14163A01).

The Political Correlates of Higher Education: A Reevaluation (Unpublished dissertation, University of Rochester, 1977).

MEMBERSHIP IN PROFESSIONAL ORGANIZATIONS

American Political Science Association