

FINANCIAL PROPOSAL – BID FORM 1
New York State Assembly Request for Proposals (RFP)
NYS ASSEMBLY PROFESSIONAL AUDITING SERVICES

COMPANY NAME: EFPR Group, CPAs, PLLC

The undersigned hereby certifies that he/she has examined and fully understands the requirements and intent of the Request for Proposal issued for Professional Auditing Services for the New York State Assembly, and proposes to furnish all labor, materials and equipment necessary to provide the services as required.

Staff Title	Hourly Rate	Total Hours	Total Costs
Partner	\$ 160	80	\$ 12,800
Director	130	25	3,250
Manager	110	130	14,300
Senior accountant	80	225	18,000

(A) Total Project Cost (Not to Exceed): \$ 48,350

*Do not include travel.
 See section: 9.1

(B) Travel Costs (Not to Exceed): \$ 3,300

Prior to award, vendor must submit a detailed breakdown for travel costs in accordance with the not to exceed rates authorized by the NYS Office of the Comptroller. See section: 9.2

Please refer to this link: <https://www.osc.state.ny.us/agencies/travel/manual.pdf>

Total Cost A and B: \$ 51,650

Proposal submitted by:


 AUTHORIZED SIGNATURE

May 6, 2019
 DATE

Douglas E. Zimmerman, CPA
 PRINTED NAME

Partner
 OFFICIAL TITLE

BID FORM 2

To be Submitted with Technical Proposal
New York State Assembly Request for Proposals (RFP)
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1. Provide a statement of experience in providing similar services.

Our firm has extensive experience in performing internal control audits of New York State Agencies in accordance with the New York State Governmental Accountability, Audit and Internal Control Act. We have performed the internal control audits of New York State Division of the Budget, New York State Office of the State Comptroller, New York State Executive Chamber, New York State Assembly, New York State Senate, New York State Unified Court System, and New York State Legislative Bill Drafting Commission.

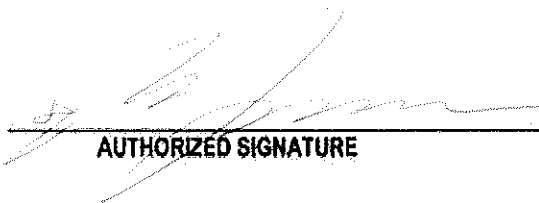
2. Provide the names and work to be performed by any subcontractors, if applicable.

Our Firm currently anticipates performing all services under this proposal in-house. However, the firm is willing to work with a minority-owned and/or women-owned accounting firm if requested by the Assembly.

3. Provide a list and fully explain any findings of non-responsibility within the past four (4) years by any government entity, including but not limited to (i) impermissible contacts or other violations of State Finance Law sections 139-j and 139-k and (ii) the intentional provision of false or incomplete information to a governmental entity.

The EFPR Group, CPAs, PLLC has not had any findings of non-responsibility within the past four (4) years by any government entity, including but not limited to (i) impermissible contacts or other violations of State Finance Law section 139-j and 139-k and (ii) the intentional provision of false of incomplete information to a governmental entity.

COMPANY NAME: EFPR Group, CPAs, PLLC



AUTHORIZED SIGNATURE

May 6, 2019

DATE

Douglas E. Zimmerman, CPA

PRINTED NAME

Partner

OFFICIAL TITLE

BID FORM 3

To be Submitted with Technical Proposal
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1. Provide names of Officers, Directors, or key employees of the firm who are employed by New York State.
None of EFPR Group, CPAs, PLLC's Officers, Directors, or key employees are employed by New York State.

2. Provide name(s) of State Employees who directly own interest of ten percent or more of the firm's business.
There are no State Employees who directly own interest of ten percent or more of the firm's business.

3. Provide a list and fully explain firm's professional relationships (not disclosed elsewhere within the firm's proposal) involving the State or any of its agencies for the past five (5) years.
The EFPR Group, CPAs, PLLC provides audit and related services to various New York State Agencies listed in Appendix B of our proposal. Our Firm does not believe that having provided audit and related services to the Agencies would, in any way, constitute a conflict of interest relative to performing the proposed internal control audit of the Assembly.

4. Provide a list and fully explain any other potential conflicts of interest.
The EFPR Group, CPAs, PLLC is independent of the New York State Assembly as defined by generally accepted auditing standards and U.S. Government Accountability Office (GAO)'s Government Auditing Standards. Accordingly, no relationship exists between the EFPR Group, CPAs, PLLC and the Assembly or any of its employees, Board members or with any person or agency that constitutes a conflict of interest with respect to the Assembly.

COMPANY NAME: EFPR Group, CPAs, PLLC



AUTHORIZED SIGNATURE

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Douglas E. Zimmerman, CPA

PRINTED NAME

Partner

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BID FORM 4

To be Submitted with Technical Proposal

New York State Assembly Request for Proposals (RFP)
NYS ASSEMBLY PROFESSIONAL AUDITING SERVICES

By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid each party thereto certifies its own organization, under penalty of perjury, that to the best of his/her knowledge and belief as follows:

1. The bidder has and has implemented a written policy addressing sexual harassment prevention in the workplace and provides annual sexual harassment prevention training to all of its employees. Such policy shall, at a minimum, meet the requirements of section two hundred one-g of the labor law.
2. The prices of this bid have been arrived at independently without collusion, consultation, communication or agreement for the purpose of restricting competition, as to any matter relating to such prices with any other bidder nor with any competitor.
3. Unless otherwise required by law, the price which have been quoted in this bid have not knowingly disclosed by the bidder and will not knowingly be disclosed by the bidder prior to opening, directly or indirectly, to any other bidder or to any other competitor; and
4. No attempt has been made or will be made by the bidder to induce any other person, partnership or corporation to submit or not to submit a bid for the purpose of restricting competition.
5. Bidders affirm compliance with the Procurement Lobbying provisions of State Finance Law sections 139-j, 139-k and 165-a and certify that all information provided to the Assembly is complete, true and accurate with regard to prior non-responsibility determinations within the past four (4) years.

COMPANY NAME: EFPR Group, CPAs, PLLC

ADDRESS: 6390 Main Street, Suite 200

Williamsville, New York 14221

TELEPHONE: (716) 634-0700 FAX: (716) 634-0764

FED I.D. #: 47-4526160



AUTHORIZED SIGNATURE

May 6, 2019

DATE

Douglas E. Zimmerman, CPA

PRINTED NAME

Partner

OFFICIAL TITLE